

## **ANNUAL STATEMENT**

For the Year Ended December 31, 2009 OF THE CONDITION AND AFFAIRS OF THE

### **HumanaDental Insurance Company**

NAIC Group Code	0119	, 0119	NAIC Company	/ Code	70580	Employer's	ID Number _	39-0714280
_	(Current Period)	(Prior Period)	_	_				
Organized under the La	iws of	Wiscon	sin		of Domicile or F	Port of Entry	\	Visconsin
Country of Domicile				United	d States			
Incorporated/Organized	l	01/01/1908	Co	mmenced	Business		10/12/1	908
Statutory Home Office		1100 Employe	rs Boulevard		,	DeP	ere, WI 54115	<u>-</u>
		(Street and					vn, State and Zip	
Main Administrative Off	ice1	100 Employers Bou			DePere, W			920-336-1100
Matt Addes -		(Street and Number	)		(City or Town, State		,	a Code) (Telephone Number)
Mail Address	(Ctro	PO Box 740036 et and Number or P.O. Bo	w\	_ '			7 40201-7436 ate and Zip Code)	
Primary Location of Boo	,		oyers Boulevard		DePere	e, WI 54115	ate and Zip Code)	920-336-1100
Timary Location of Boo	ons and records		t and Number)			State and Zip Code	(Area	a Code) (Telephone Number)
Internet Web Site Addre	ess	•	,	www.h	numana.com	·	,	, , ,
Statutory Statement Co		C	athy Staebler			50	2-580-2712	
oldidiory oldioment oo	maot		(Name)		-		ephone Number)	(Extension)
	cstaebler@hum	ana.com	()			502-580-20		(=,
	(E-Mail Addr	ess)				(FAX Numbe	er)	
			OFFIC	ERS				
Name		Title			Name			Title
Gerald Lawrence	Ganoni	Preside	ent	Jo	an Olliges Lena	han	VP and C	orporate Secretary
James Harry B		Sr. VP, CFO &			rank Murray Am			ointed Actuary
		·	OTHER O					
O O	<b>f</b> - !d	\					000	D
George Grant Bau		Vice Pres Vice Pres			eth Diane Bierb			Specialty Benefits ce President
John Gregory C Mark Matthew M		VP - Dental Seg			nn Edward Lum en Stephenson F			ent & Asst. Secretary
Gilbert Alan Ste	,	VF - Delital Seg			Villiam Joseph 1			ce President
Gary Dean Thor		Vice Pres			a Louise Weave			ce President
Gary Dean Thor	<u>,</u> ,					,	VIC	e Fresident
		DIF	RECTORS O	RIKU	SIEES			
James Harry B	loem	Michael Benedic	t McCallister	Já	ames Elmer Mu	rray ,	Melissa Lo	uise Weaver M.D. #
State of  County of  The officers of this reportir above, all of the herein de that this statement, togeth liabilities and of the condition and have been completed may differ; or, (2) that stat knowledge and belief, resp	Jefferson dul scribed assets we ser with related ex on and affairs of t in accordance with e rules or regulatic pectively. Furtherm	y sworn, each depose re the absolute proper hibits, schedules and he said reporting entity in the NAIC Annual Stat ons require differences lore, the scope of this	ty of the said reporting explanations thereing as of the reporting pement Instructions are in reporting not relatestation by the design of the said attestation by the design of the said the sa	g entity, fre contained, period stated decounting ted to accounting scribed office.	e and clear from a annexed or referr d above, and of its ng Practices and F unting practices a ers also includes	any liens or clair red to, is a full a s income and de Procedures man nd procedures, a the related corre	ns thereon, exc and true statem ductions thereful except to the according to the exponding elect	cept as herein stated, and nent of all the assets and from for the period ended, e extent that: (1) state law best of their information, tronic filing with the NAIC,
Pro-	wrence Ganoni esident before me this	lary, 2010	Joan Ollige VP and Corpo		a. Is this b. If no:, 1. Sta 2. Dat	an original filing te the amendme e filed	nt number	,
Mura Carpontar Natari	Dublio				3. Nur	nber of pages at	tached	
Myra Carpenter, Notary August 9, 2013	PuDIIC							

## **SUMMARY INVESTMENT SCHEDULE**

	0		Admitted Assets as Reported			
	Gross Investment Holdings		in the Annual Statement			
Investment Categories	1 Amount	2 Percentage	3 Amount	4 Percentage		
1. Bonds:	Amount	rereemage	Amount	rereemage		
1.1 U.S. treasury securities	6,154,266	7 .046	6,154,266	7.046		
1.2 U.S. government agency obligations (excluding mortgage-backed						
securities): 1.21 Issued by U.S. government agencies		0.000		0.000		
1.22 Issued by U.S. government sponsored agencies	•	27 .710	24 202 987			
Non-U.S.government (including Canada, excluding mortgaged-backed securities)			24,202,307			
1.4 Securities issued by states, territories, and possessions and political subdivisions in the U.S.:						
1.41 States, territories and possessions general obligations	3,800,329	4.351	3,800,329	4.351		
1.42 Political subdivisions of states, territories and possessions and political subdivisions general obligations	122 193	0.484	122 103	0.484		
1.43 Revenue and assessment obligations		I	4,881,843			
1.44 Industrial development and similar obligations				0.000		
Mortgage-backed securities (includes residential and commercial MBS):						
1.51 Pass-through securities:						
1.511 Issued or guaranteed by GNMA						
1.512 Issued or guaranteed by FNMA and FHLMC	<b> </b>		2,014,615			
1.513 All other		0.000		0.000		
1.52 CMOs and REMICs:				0.000		
1.521 Issued or guaranteed by GNMA, FNMA, FHLMC or VA		0.000		0.000		
1.522 Issued by non-U.S. Government issuers and collateralized by mortgage-backed securities issued or guaranteed by agencies shown in Line 1.521		0.000		0.000		
1.523 All other	3,036,461	3.476	3,036,461	3.476		
Other debt and other fixed income securities (excluding short term):						
2.1 Unaffiliated domestic securities (includes credit tenant loans and hybrid	10 175 571	24 054	40 475 574	24 054		
securities)	I					
2.2 Orianimated non-0.5. Securities (including Canada)  2.3 Affiliated securities	i i	I .				
3. Equity interests:		0.000		0.000		
3.1 Investments in mutual funds		0.000		0.000		
3.2 Preferred stocks:		0.000				
3.21 Affiliated		0.000		0.000		
3.22 Unaffiliated		0.000		0.000		
3.3 Publicly traded equity securities (excluding preferred stocks):     3.31 Affiliated		0.000		0.000		
3.32 Unaffiliated	1			0.000		
3.4 Other equity securities:  3.41 Affiliated						
3.42 Unaffiliated		0.000		0.000		
3.5 Other equity interests including tangible personal property under lease:						
3.51 Affiliated  3.52 Unaffiliated						
Mortgage loans:		0.000				
4.1 Construction and land development		0.000		0.000		
4.2 Agricultural	i i					
4.3 Single family residential properties	i i	l l				
4.4 Multifamily residential properties	ı					
4.5 Commercial loans						
4.6 Mezzanine real estate loans		0.000		0.000		
Real estate investments:						
5.1 Property occupied by the company		0.000	0	0.000		
5.2 Property held for the production of income (including						
\$of property acquired in satisfaction of debt)		0.000	0	0.000		
5.3 Property held for sale (including \$ property						
acquired in satisfaction of debt)				0.000		
6. Contract loans				0.000		
7. Receivables for securities		ı		0.000		
Cash, cash equivalents and short-term investments      Other invested assets	23,000,018	27.083	23,655,518	0.000		
Other invested assets	87,344,083	100.000	87,344,083	100.000		

## **SCHEDULE A – VERIFICATION BETWEEN YEARS**

Real Estate

1.	· Book/adjusted carrying value, December 31 of prior year	0
2.	Cost of acquired:	
	2.1 Actual cost at time of acquisition (Part 2, Column 6)0	
	2.2 Additional investment made after acquisition (Part 2, Column 9)	0
3.	. Current year change in encumbrances:	
	3.1 Totals, Part 1, Column 130	
	3.2 Totals, Part 3, Column 11 U	0
4.	. Total gain (loss) on disposals, Part 3, Column 18	0
5.	. Deduct amounts received on disposals, Part 3, Column 15	0
6.	. Total foreign exchange change in book/adjusted carrying value	
	6.1 Totals, Part 1, Column 15	
	6.2 Totals, Part 3, Column 130	0
7.	Deduct current year's other than temporary impairment recognized:	
	7.1 Totals, Part 1, Column 120	
	7.2 Totals, Part 3, Column 100	0
8.	. Deduct current year's depreciation:	
	8.1 Totals, Part 1, Column 11	
	8.2 Totals, Part 3, Column 9	0
	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	0
10.	Deduct total nonadmitted amounts	
11.	Statement value at end of current period (Line 9 minus Line 10)	0

## **SCHEDULE B – VERIFICATION BETWEEN YEARS**

Mortgage Loans

1	Book value/recorded investment excluding accrued interest, December 31 of prior year	0
2	Cost of acquired:	0
	2.1 Actual cost at time of acquisition (Part 2, Column 7)0	
	2.2 Additional investment made after acquisition (Part 2, Column 8)	0
3	Capitalized deferred interest and other:	
٠.	3.1 Totals, Part 1, Column 12 0	
	3.2 Totals, Part 3, Column 11	0
4.	Accrual of discount	
	5.1 Totals, Part 1, Column 9	
	5.2 Totals, Part 3, Column 80	0
6.	Total gain (loss) on disposals, Part 3, Column 18	0
7.	Deduct amounts received on disposals, Part 3, Column 15	0
8.	Deduct amortization of premium and mortgage interest points and commitment rees	
9.		
	9.1 Totals, Part 1, Column 13	
	9.1 Totals, Part 1, Column 13	0
10.	Deduct current year's other than temporary impairment recognized:	
	10.1 Totals, Part 1, Column 110	
	10.2 Totals, Part 3, Column 10	0
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0
12.		
13.		
14.		
15.	Statement value of mortgages owned at end of current period (Line 13 minus Line 14)	0

## **SCHEDULE BA – VERIFICATION BETWEEN YEARS**

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year	0	
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition (Part 2, Column 8)	)	
	2.2 Additional investment made after acquisition (Part 2, Column 9)	0	
3.	Capitalized deferred interest and other:		
	3.1 Totals, Part 1, Column 16 (	)	
	3.2 Totals, Part 3, Column 12	0	
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease):		
	5.1 Totals, Part 1, Column 13	)	
	5.2 Totals, Part 3, Column 9	0	
6.	Total gain (loss) on disposals, Part 3, Column 19	0	
7.	Deduct amounts received on disposals, Part 3, Column 16	0	
8.	Deduct amortization of premium and depreciation		
9.	Total foreign exchange change in book/adjusted carrying value:		
	9.1 Totals, Part 1, Column 17	)	
	9.2 Totals, Part 3, Column 14	0	
10.	Deduct current year's other than temporary impairment recognized:		
	10.1 Totals, Part 1, Column 15	)	
	10.2 Totals, Part 3, Column 11	0	
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)	0	

## **SCHEDULE D - VERIFICATION BETWEEN YEARS**

### Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year	76,448,407
2.	Cost of bonds and stocks acquired, Part 3, Column 7	38,895,645
3.	Accrual of discount	24 204
4.	Unrealized valuation increase (decrease):	,
	4.1 Part 1, Column 120	
	4.2 Part 2, Section 1, Column 15	
	4.3 Part 2, Section 2, Column 13	
	4.4 Part 4, Column 11 0	0
5.	Total gain (loss) on disposals, Part 4, Column 19	
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7	
7.	Deduct amortization of premium	379,696
8.	Total foreign exchange change in book/adjusted carrying value:	
	8.1 Part 1, Column 150	
	8.2 Part 2, Section 1, Column 19	
	8.3 Part 2, Section 2, Column 160	
	8.4 Part 4, Column 15	0
9.	Deduct current year's other than temporary impairment recognized:	
	9.1 Part 1, Column 14	
	9.2 Part 2, Section 1, Column 17	
	9.3 Part 2, Section 2, Column 14	
	9.4 Part 4. Column 13 9.750	9,750
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	63,688,565
11.	Deduct total nonadmitted amounts	
12.	Statement value at end of current period (Line 10 minus Line 11)	63,688,565

## **SCHEDULE D - SUMMARY BY COUNTRY**

Long-Term Bonds and Stocks **OWNED** December 31 of Current Year

			1	2	3	4
			Book/Adjusted			
Description		Carrying Value	Fair Value	Actual Cost	Par Value of Bonds	
BONDS	1.	United States	30 , 357 , 253	30 , 528 , 520	30,518,100	30,000,000
Governments	2.	Canada				
(Including all obligations guaranteed	3.	Other Countries				
by governments)	4.	Totals	30,357,253	30,528,520	30,518,100	30,000,000
U.S. States, Territories and Possessions						
(Direct and guaranteed)	5.	Totals	3,800,329	3,770,181	3,828,582	3,450,000
U.S. Political Subdivisions of States, Territories						
and Possessions (Direct and guaranteed)	6.	Totals	422,493	442,519	422,285	425,000
U.S. Special revenue and special assessment						
obligations and all non-guaranteed						
obligations of agencies and authorities of						
governments and their political subdivisions	7.	Totals	6,896,457	6,998,833	7,004,702	6,459,178
	8.	United States	17 ,986 , 109	18,444,788	18,044,181	17,323,729
Industrial and Miscellaneous and Credit Tenant	9.	Canada	1,168,396	1,202,622	1,196,078	1 , 107 , 000
Loans and Hybrid Securities (unaffiliated)	10.	Other Countries	3,057,528	3,157,476	3,046,888	3,090,000
	11.	Totals	22,212,033	22,804,885	22,287,146	21,520,729
Parent, Subsidiaries and Affiliates	12.	Totals	0	0	0	0
	13.	Total Bonds	63,688,565	64,544,938	64,060,816	61,854,908
PREFERRED STOCKS	14.	United States				
Industrial and Miscellaneous (unaffiliated)	15.	Canada				
	16.	Other Countries				
	17.	Totals	0	0	0	
Parent, Subsidiaries and Affiliates	18.	Totals	0	0	0	
	19.	Total Preferred Stocks	0	0	0	
COMMON STOCKS	20.	United States				
Industrial and Miscellaneous (unaffiliated)	21.	Canada				
	22.	Other Countries				
	23.	Totals	0	0	0	
Parent, Subsidiaries and Affiliates	24.	Totals	0	0	0	
	25.	Total Common Stocks	0	0	0	
	26.	Total Stocks	0	0	0	
	27.	Total Bonds and Stocks	63,688,565	64,544,938	64,060,816	

## **SCHEDULE D - PART 1A - SECTION 1**

	lues by Major Types of Issues and NAIC Designations	

The contract of the NAIC Designation   1   Year or Less   Years   Years   Years   Years   Through 20 Years		
1. U.S. Governments       1.1 Class 1     19,813,483     30,357,253     50,170,736     55.4     40,301,937     45       1.2 Class 2     0     0.0     0     0     0     0       1.3 Class 3     0     0.0     0     0     0     0       1.4 Class 4     0     0.0     0     0     0     0       1.5 Class 5     0     0     0     0     0     0       1.6 Class 6     0     0     0     0     0     0       1.7 Totals     19,813,483     30,357,253     0     0     50,170,736     55.4     40,301,937     45       2. All Other Governments       2.1 Class 1     0     0     0     0     0       2.2 Class 2     0     0     0     0     0       2.3 Class 3     0     0     0     0     0     0       2.4 Class 4     0     0     0     0     0     0	10 Total Publicly Traded	11 Total Privately Place (a)
1.2 Class 2       0       0.0       <		(=)
1.2 Class 2       0       0.0       <	.650,170,736	,
1.3 Class 3       0       0.0       0.0       0	. , . , . , . , . , . , . , ,	
1.4 Class 4       0       0.0       0.0       0       0         1.5 Class 5       0       0       0.0       0       0         1.6 Class 6       0       0       0       0       0       0         1.7 Totals       19,813,483       30,357,253       0       0       50,170,736       55.4       40,301,937       45         2. All Other Governments       0       0.0       0		
1.5 Class 5       0       0       0.0       0.0       0       0         1.6 Class 6       0		
1.7 Totals     19,813,483     30,357,253     0     0     0     50,170,736     55.4     40,301,937     45       2. All Other Governments     0     0.0		
1.7 Totals     19,813,483     30,357,253     0     0     0     50,170,736     55.4     40,301,937     45       2. All Other Governments     0     0.0	.0	
2. All Other Governments       2.1 Class 1     0     0.0       2.2 Class 2     0     0.0       2.3 Class 3     0     0.0       2.4 Class 4     0     0.0		, †
2.2 Class 2		
2.2 Class 2		
2.3 Class 3 0 0 0.0 2.4 Class 4 0 0 0.0 0.0		
2.4 Class 4 0 0.0 0.0		
2.6 Class 6 0 0.0		
2.7 Totals 0 0 0 0 0 0 0.0	0	,
3. U.S. States, Territories and Possessions etc., Guaranteed	<del>-</del>	
3.1 Class 1 1,680,670	.93,800,329	
3.2 Class 2 0 0 0.0 0.0	3,000,023	
3.3 Class 3		
3.4 Class 4 0 0 0.0		
3.5 Class 5 0 0.0		
3.6 Class 6 0 0.0		
	.9 3,800,329	,
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed	3,000,020	+
4.1 Class 1 422,493 422,493 0.5 1,179,761 1	.3 422,493	1
4.2 Class 2 0 0 0.0	422,493	
4.3 Class 3 0 0 0.0		
4.4 Class 4 0 0 0.0		
4.5 Class 5 0 0.0		
4.6 Class 6 0 0.0		
	.3 422,493	
5. U.S. Special Revenue & Special Assessment Obligations etc., Non-Guaranteed	722,433	+
5.1 Class 1 367,746 1,327,422 1,792,238 877,277 2,401,343 6,766,025 7.5 25,635,452 29	.06,766,025	
5.2 Class 2		
5.3 Class 3 0 0 0.0 0 0		
5.4 Class 4		
5.5 Class 5 0 0 0.0 0 0		
5.6 Class 6 0 0 0.0 0		
5.7 Totals 367,746 1,327,422 1,922,670 877,277 2,401,343 6,896,457 7.6 25,766,659 29	· V I	1

# SCHEDULE D - PART 1A - SECTION 1 (Continued) Quality and Maturity Distribution of All Bonds Owned December 31. at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations.

Couling Rating per the NAIC Designation   1 Year or Less   Very 1 Trough   Very 2 Very	Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations											
S. Principal and Miscellaneous (unaffiliated)   S. Principal and Miscellaneous (unaffiliated)   S. Principal and Miscellaneous (unaffiliated)   S. Principal and Princip	Quality Rating per the NAIC Designation	1 Vear or Less				5 Over 20 Vears	6		Total from Col. 6	% From Col. 7		11 Total Privately Placed
6.1 Class 1 7,124,865 5,861 007 5,508,027 753,865 18,818,93 20,8 10,194,952 11.5 18,818,533 20,10 194,952 11.5 18,818,533 20,1	_ , , ,		i cais	10 Teals	Tillough 20 Tears	Over 20 Tears	Total Culterit Tear	// OI LINE 10.7	THOI Teal	THOI Teal	Haded	(a)
6.2 Class 2		,	E 054 007	E 006 007		752 662	10 010 E02	20.0	10 104 052	11 E	10 010 502	
6.3 Class 3	6.7 Class 1					733,003						
6.4 Class 4		20 , 145			EO 000							
6.6 Class 5												
6.6 Class 6			240,290				1					
6.7 Totals							70,000				70,000	
7. Class 1		7 444 050	0.740.000	40.040.400	F0 000	750,000	00,000,000		, .		00, 000, 000	
7.1 Class 1		7,144,950	8,740,032	12,642,193	50,000	753,663	29,330,838	32.4	15,968,639	17.9	29,330,838	0
7.2 Class 2			1									
7.3 class 3							0		0			
7.4 Class 4							0		0			
7.5 Class 5			ļ				<u> </u> 0		0			
7.6 Class 6							<u></u>		0			
7.7 Totals 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0							0		0			
8. I Class 1 8.1 Class 2 8.2 Class 2 8.3 Class 3 9 0 0.0 8.4 Class 4 9 0 0.0 8.5 Class 5 9 0 0.0 8.7 Totals 9.1 Class 1 9.2 Class 2 9.3 Class 3 9 0 0.0 9. Parent, Subsidiaries and Affiliates 9.1 Class 1 9.2 Class 2 9.3 Class 3 9.5 Class 5 9.7 Class 6							0		0			
8.1 Class 1		0	0	0	0	0	0	0.0	0	0.0	0	0
8.2 Class 2 8.3 Class 3 8.4 Class 4 8.5 Class 5 8.6 Class 6 8.7 Totals 9.1 Class 1 9.1 Class 1 9.2 Class 2 9.3 Class 3 9.4 Class 4 9.5 Class 6 9.5 Class 6 9.7 Totals 9.8 Class 6 9.9 Totals 9.1 Class 1 9.1 Class 1 9.2 Class 2 9.3 Class 3 9.4 Class 4 9.5 Class 6 9.5 Class 6 9.7 Class 1 9.8 Class 6 9.7 Class 1 9.8 Class 6 9.7 Class 1 9.8 Class 6 9.8 Class 6 9.9 Class 6 9.0 Class 6												
8.3 Class 3							0					
8.4 Class 4       0       0.0       0.0         8.5 Class 5       0       0.0       0.0         8.6 Class 6       0       0.0       0.0         8.7 Totals       0       0.0       0.0         9. Parent, Subsidiaries and Affiliates       0       0.0       0         9.1 Class 1       0       0.0       0       0.0         9.2 Class 2       0       0.0       0       0.0         9.4 Class 4       0       0.0       0       0.0         9.5 Class 5       0       0.0       0       0.0         9.6 Class 6       0       0.0       0       0.0							0					
8.5 Class 5       0       0.0							0					
8.6 Class 6       0 <td< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td>0</td><td></td><td></td><td></td><td></td><td></td></td<>							0					
8.7 Totals       0	8.5 Class 5						0					
9. Parent, Subsidiaries and Affiliates       9.1 Class 1     0     0.0     0     0.0       9.2 Class 2     0     0.0     0     0.0       9.3 Class 3     0     0.0     0     0.0       9.4 Class 4     0     0.0     0     0.0       9.5 Class 5     0     0.0     0     0.0       9.6 Class 6     0     0.0     0     0.0							0	0.0				
9.1 Class 1     0     0.0     0     0.0       9.2 Class 2     0     0.0     0     0.0       9.3 Class 3     0     0.0     0     0.0       9.4 Class 4     0     0.0     0     0.0       9.5 Class 5     0     0.0     0     0.0       9.6 Class 6     0     0.0     0     0.0	8.7 Totals	0	0	0	0	0	0	0.0			0	0
9.2 Class 2     0     0.0     0.0     0.0       9.3 Class 3     0     0.0     0.0     0.0       9.4 Class 4     0     0.0     0.0     0.0       9.5 Class 5     0     0.0     0.0     0.0       9.6 Class 6     0     0.0     0.0     0.0	9. Parent, Subsidiaries and Affiliates											
9.2 Class 2     0     0.0     0.0     0.0       9.3 Class 3     0     0.0     0.0     0.0       9.4 Class 4     0     0.0     0.0     0.0       9.5 Class 5     0     0.0     0.0     0.0       9.6 Class 6     0     0.0     0.0     0.0	9.1 Class 1						0	0.0	0	0.0		
9.3 Class 3     0     0.0     0.0     0.0       9.4 Class 4     0     0.0     0.0     0.0       9.5 Class 5     0     0.0     0.0     0.0       9.6 Class 6     0     0.0     0.0     0.0							0	0.0	0	0.0		
9.4 Class 4     0     0.0     0     0.0       9.5 Class 5     0     0.0     0     0.0       9.6 Class 6     0     0.0     0     0.0	9.3 Class 3						0		0 [	0.0		
9.5 Class 5     0     0.0     0     0.0       9.6 Class 6     0     0.0     0     0.0	9.4 Class 4						0		0			
9.6 Class 6 0 0.0 0.0	9.5 Class 5						0		0	0.0		
	9.6 Class 6						0		0			
	9.7 Totals	0	0	0	0	0	0		0		0	0

## SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations % From Col. 7 Over 1 Year Through Over 5 Years Through Over 10 Years Col. 6 as a Total from Col. 6 Total Publicly Total Privately Placed Quality Rating per the NAIC Designation 1 Year or Less Through 20 Years Over 20 Years **Total Current Year** % of Line 10.7 Prior Year Prior Year Traded 5 Years 10 Years (a) 10. Total Bonds Current Year 10.1 Class 1 27,306,034 37,538,763 8,558,935 2,898,132 ...3,676,301 79,978,166 .88.3 XXX XXX 79,978,166 8.925.677 10.2 Class 2 .20,145 ..2,281,412 .6,624,120 ..8,925,677 9.8 XXX XXX 10.3 Class 3 .50.000 1.202.280 .362.234 790.046 ..0 1.202.280 .1.3 XXX XXX 0.5 10.4 Class 4 \_248,298 202.432 450.731 XXX 450.731 0 XXX 10.5 Class 5 ..70,000 70.000 XXX XXX 70.000 10.6 Class 6 (c) 0.0 XXX 0 XXX 10.7 Totals 40,430,707 16,245,534 2,948,132 90,626,853 100.0 XXX XXX 90,626,853 10.8 Line 10.7 as a % of Col. 6 44.6 17.9 100.0 XXX XXX XXX 100.0 0.0 4.1 11. Total Bonds Prior Year 7.464.339 4.298.513 82.536.229 93.3 82.536.229 11.1 Class 1 16.646.941 20,092,359 34.034.077 XXX XXX 11.2 Class 2 871.239 2.901.995 56.969 XXX XXX 3.830.203 4.3 3.830.203 11.3 Class 3 646,601 1,061,325 50.000 XXX 1,757,926 2.0 1.757.926 XXX 11.4 Class 4 .20.750 ..301,689 ..280,939 XXX XXX ..0.3 .301,689 11.5 Class 5 ...7,350 XXX \_XXX\_ ...7,350 0.0 .7,350 11.6 Class 6 7,725 XXX XXX 7,725 0.0 7,725 11.7 Totals 16,646,941 21,646,024 11,708,598 ...34,091,046 XXX XXX 88,441,122 .88,441,122 11.8 Line 11.7 as a % of Col. 8 18.8 24.5 13.2 4.9 38.5 XXX XXX 100.0 XXX 100.0 0.0 12. Total Publicly Traded Bonds 2.898.132 3.676.301 ...79,978,166 88.3 .82,536,229 93.3 79.978.166 12.1 Class 1 27,306,034 .37,538,763 .8,558,935 XXX 12.2 Class 2 ..20,145 \_2,281,412 ..6,624,120 .8,925,677 9.8 ..3,830,203 \_4.3 ..8,925,677 \_XXX\_ 12.3 Class 3 \_362,234 790.046 50.000 1.202.280 1.3 1.757.926 2.0 1.202.280 XXX 12.4 Class 4 \_248,298 202,432 450.731 0.5 301.689 0.3 450.731 XXX ..70,000 70.000 0.1 7.350 0.0 70.000 XXX 12.5 Class 5 0.0 7,725 XXX 12.6 Class 6 0.0 0 12.7 Totals 27,326,179 40.430.707 16,245,534 2,948,132 90,626,853 100.0 .88,441,122 90,626,853 XXX ..3,676,301 12.8 Line 12.7 as a % of Col. 6 ..30.2 .44.6 ...17.9 .3.3 100.0 XXX XXX XXX 100.0 XXX \_4.1 12.9 Line 12.7 as a % of Line 10.7. Col. 6. Section 10 30.2 44.6 17.9 3.3 4.1 100.0 XXX XXX XXX 100.0 XXX 13. Total Privately Placed Bonds 13.1 Class 1 0.0 XXX 13.2 Class 2 0.0 XXX 13.3 Class 3 0.0 XXX 13.4 Class 4 0.0 XXX 13.5 Class 5 0.0 XXX XXX 13.6 Class 6 0.0 13.7 Totals 0.0 XXX 13.8 Line 13.7 as a % of Col. 6 0.0 0.0 0.0 0.0 0.0 0.0 XXX XXX XXX XXX 0.0 13.9 Line 13.7 as a % of Line 10.7. 0.0 0.0 0.0 0.0 0.0 XXX XXX 0.0 Col. 6. Section 10 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A. (a) Includes \$ (b) Includes \$ current year, \$ prior year of bonds with Z designations and \$ current year, \$ prior year of bonds with Z\* designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement. "Z\*" means the SVO could not evaluate the obligation because valuation procedures for the security class is under regulatory review.

(d) Includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1\$ \_\_\_\_\_26,938,288 ; NAIC 2\$ \_\_\_\_\_ ; NAIC 3\$ \_\_\_\_\_ ; NAIC 4\$ \_\_\_\_\_ ; NAIC 4\$ \_\_\_\_\_ ; NAIC 5\$ \_\_\_\_\_\_ ; NAIC 5\$

## **SCHEDULE D - PART 1A - SECTION 2**

Maturity Distribution of All Bonds Owned December 31, At Book/Adjusted Carry	ying Values by Major Type and Subtype of Issues
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	Maturity Distri	bution of All Bonds (	Owned December 31,	At Book/Adjusted Ca	rrying Values by Maj	or Type and Subt	ype of Issues				
	1	2	3	4	5	6	7	8	9	10	11
		Over 1 Year	Over 5 Years	Over 10 Years		Total Current	Col. 6 as a %	Total from Col 6	% From Col. 7 Prior	Total Publicly	Total Privately
Distribution by Type	1 Year or Less	Through 5 Years			Over 20 Years	Year	of Line 10.7	Prior Year	Year	Traded	Placed
1. U.S. Governments	1 1001 01 2000	Throught o Touro	Through to route	Through 20 Touro	07012010010	1 001	01 EIII 0 10.1	11101 1001	1001	Tradod	1 14004
1.1 Issuer Obligations	19,813,483	30,357,253		1		50,170,736	55.4	25,382,230	28.7	50 , 170 , 736	
1.2 Single Class Mortgage-Backed/Asset-Backed Securities	10,010,400					150, 170,750	0.0	14,919,707	16.9		
1.7 Totals	19,813,483	30,357,253	0	0	0	50,170,736	55.4	40.301.937	45.6	50,170,736	0
2. All Other Governments	.,,	,,				11, 1, 1		.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		, -,	
2.1 Issuer Obligations			T	†		0	0.0				
2.2 Single Class Mortgage-Backed/Asset-Backed Securities			İ			1 0	0.0				
MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES 2.3 Defined						0	0.0				
2.4 Other			İ			0	0.0				
MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED SECURITIES											
2.5 Defined			ļ			10	0.0				
2.6 Other						0	0.0				
2.7 Totals	0	0	0	0	0	0	0.0			0	0
3. U.S. States, Territories and Possessions, Guaranteed											
3.1 Issuer Obligations			1,680,670	2,020,856	98,803	3,800,329	4.2	5,224,127	5.9	3,800,329	
3.2 Single Class Mortgage-Backed/Asset-Backed Securities     MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES     3.3 Defined						0	0.0				
3.4 Other			<b>†</b>	†		†	0.0				
MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED						1	I				
SECURITIES											
3.5 Defined						0	0.0				
3.6 Other						0	0.0				
3.7 Totals	0	0	1,680,670	2,020,856	98,803	3,800,329	4.2	5,224,127	5.9	3,800,329	0
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed			_								
4.1 Issuer Obligations					422,493	422,493	0.5	1,179,761	1.3	422,493	
4.2 Single Class Mortgage-Backed/Asset-Backed Securities						ļ0	0.0				
MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES 4.3 Defined						1	0.0				
4.4 Other			<u> </u>			t0	0.0				
MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED						1					
SECURITIES											
4.5 Defined						0	0.0				
4.6 Other						0	0.0				
4.7 Totals	0	0	0	0	422,493	422,493	0.5	1,179,761	1.3	422,493	0
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed				]							
5.1 Issuer Obligations	367,746	1,327,422	1,922,670	877 , 277	386,728	4,881,843	5.4	8,814,071	10.0	4,881,843	
5.2 Single Class Mortgage-Backed/Asset-Backed Securities	ļ		ļ	ļ	2,014,615	2,014,615	2.2	16,952,588	19.2	2,014,615	
MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES 5.3 Defined						0	0.0	0	0.0		
5.4 Other			†	†		t	0.0	h0	0.0		
MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED			<b>†</b>			†	I		0.0		
SECURITIES											
5.5 Defined						0	0.0	0	0.0		
5.6 Other						0	0.0	0	0.0		
5.7 Totals	367,746	1,327,422	1,922,670	877,277	2,401,343	6,896,457	7.6	25,766,659	29.1	6,896,457	0

## **SCHEDULE D - PART 1A - SECTION 2 (Continued)**

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

	1	2	3	4	5	6	7	8	9	10	11
Distribution by Type	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	Total Current Year	Col. 6 as a % of Line 10.7	Total from Col. 6 Prior Year	% From Col. 7 Prior Year	Total Publicly Traded	Total Privately Placed
Industrial and Miscellaneous			······································		210: 27 100:0		70 01 =0				
6.1 Issuer Obligations	7,144,950	6,463,233	12,642,193	50,000		26,300,376	29.0	12,886,924	14.5	26,300,376	
6.2 Single Class Mortgage-Backed/Asset-Backed	, ,	, ,	, ,	,						, ,	
Securities						0	0.0	3,081,715	3.5		
MULTI-CLASS RESIDENTIAL MORTGAGE- BACKED SECURITIES:											
6.3 Defined						0	0.0	0	0.0		
6.4 Other						0	0.0	0	0.0		
MULTI-CLASS COMMERCIAL MORTGAGE- BACKED/ASSET-BACKED SECURITIES:											
6.5 Defined						0	0.0	0			
6.6 Other		2,282,798			753,663	3,036,461	3.4	0	0.0	3,036,461	
6.7 Totals	7,144,950	8,746,032	12,642,193	50,000	753,663	29,336,838	32.4	15,968,639	17.9	29,336,838	0
7. Credit Tenant Loans											
7.1 Issuer Obligations						0	0.0	0	0.0		
7.2 Single Class Mortgage-Backed/Asset-Backed Securities						0	0.0				
7.7 Totals	0	0	0	0	0	0	0.0		17.9	0	0
8. Hybrid Securities											
8.1 Issuer Obligations						0	0.0		<u> </u>		
8.2 Single Class Mortgage-Backed/Asset-Backed Securities						0	0.0				
MULTI-CLASS RESIDENTIAL MORTGAGE- BACKED SECURITIES:											
8.3 Defined						0	0.0				
8.4 Other						0	0.0		<u> </u>		
MULTI-CLASS COMMERCIAL MORTGAGE- BACKED/ASSET-BACKED SECURITIES:											
8.5 Defined						0	0.0		ļļ.		
8.6 Other						0	0.0				
8.7 Totals	0	0	0	0	0	0	0.0	0		0	0
9. Parent, Subsidiaries and Affiliates											
9.1 Issuer Obligations						0	0.0	0	0.0		
9.2 Single Class Mortgage-Backed/Asset-Backed Securities						0	0.0	0	0.0		
MULTI-CLASS RESIDENTIAL MORTGAGE- BACKED SECURITIES:											
9.3 Defined		ļ				0	0.0	0	0.0		
9.4 Other						0	0.0	0	0.0		
MULTI-CLASS COMMERCIAL MORTGAGE- BACKED/ASSET-BACKED SECURITIES:											
9.5 Defined						0	0.0	0	0.0		
9.6 Other						0	0.0	0	0.0		
9.7 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0

# SCHEDULE D - PART 1A - SECTION 2 (Continued) Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Maturity Di	stribution of All Bon	ds Owned Decemb	per 31, at Book/Adj	usted Carrying Vali		and Subtype of Is	sues				
	1	2	3	4	5	6	7	8	9	10	11
Distribution by Town	4. //	Over 1 Year	Over 5 Years	Over 10 Years	0	Total Current Year				Total Publicly	Total Privately Placed
Distribution by Type	1 Year or Less	Inrough 5 Years	Inrough 10 Years	Through 20 Years	Over 20 Years	Current Year	of Line 10.7	Prior Year	Prior Year	Traded	Placed
10. Total Bonds Current Year											
10.1 Issuer Obligations	27 , 326 , 179	38 , 147 , 909	16,245,534	2,948,132	908,023	85,575,777	94.4	XXX	XXX	85 , 575 , 777	0
10.2 Single Class Mortgage-Backed/Asset-Backed Securities	0	0	0	0	2,014,615	2,014,615	2.2	XXX	XXX	2,014,615	0
MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES:											
10.3 Defined	0	0	0	0	0	0	0.0	XXX	XXX	0	0
10.4 Other	0	0	0	0	0	0	0.0	XXX	XXX	0	0
MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED SECURITIES:											
10.5 Defined	0	0	0	0	0	0	0.0	XXX	XXX	0	0
10.6 Other	.] 0	2,282,798	0	0	753,663	3,036,461	3.4	XXX	XXX	3,036,461	0
10.7 Totals	27,326,179	40,430,707	16,245,534	2,948,132	3,676,301	90,626,853	100.0	XXX	XXX	90,626,853	0
10.8 Lines 10.7 as a % of Col. 6	30.2	44.6	17.9	3.3	4.1	100.0	XXX	XXX	XXX	100.0	0.0
11. Total Bonds Prior Year											
11.1 Issuer Obligations	16,646,941	19,317,973	11,708,598	4,348,513	1,465,088	XXX	xxx	53,487,113	60.5	53,487,113	
11.2 Single Class Mortgage-Backed/Asset-Backed Securities	10,040,341	2,328,052	11,700,000	, 070,010	32,625,958	XXX	XXX	34.954.010	36.0	34.954.009	
MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES:	-	2,020,002	l							0+,00+,000	
11.3 Defined		n	1	0		XXX	XXX				
11.4 Other		10	ļ0			XXX	T XXX	<del></del>			·····
MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED SECURITIES:			J0								·····
11.5 Defined		1	-			XXX	XXX				
11.6 Other		ļ				XXX	XXX		3.5		
11.7 Totals		04 040 005	14 700 500	4 240 542	24 004 040			00 444 400		88.441.122	
11.8 Line 11.7 as a % of Col. 8	16,646,941	21,646,025	11,708,598	4,348,513	34,091,046	XXX	XXXXXX	88,441,123	100.0		J
	18.8	24.5	13.2	4.9	38.5	XXX	XXX	100.0	XXX	100.0	0.0
12. Total Publicly Traded Bonds											
12.1 Issuer Obligations	27 , 326 , 179	38 , 147 , 909	16,245,534	2,948,132	908,023	85,575,777	94.4	53 , 487 , 113	60.5	85,575,777	XXX
12.2 Single Class Mortgage-Backed/Asset-Backed Securities					2,014,615	2,014,615	2.2	34,954,010	36.0	2,014,615	XXX
MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES:											
12.3 Defined						J0	0.0			0	XXX
12.4 Other						0	0.0			0	XXX
MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED SECURITIES:											
12.5 Defined		ļ	ļ	ļ		0	0.0			0	XXX
12.6 Other		2,282,798			753,663	3,036,461	3.4		3.5	3,036,461	XXX
12.7 Totals	27 , 326 , 179	40,430,707	16,245,534	2,948,132	3,676,301	90,626,853	100.0	88,441,122	100.0	90,626,853	XXX
12.8 Line 12.7 as a % of Col. 6	30.2	44.6	17.9	3.3	4.1	100.0	XXX	XXX	XXX	100.0	XXX
12.9 Line 12.7 as a % of Line 10.7, Col. 6, Section 10	30.2	44.6	17.9	3.3	4.1	100.0	XXX	XXX	XXX	100.0	XXX
13. Total Privately Placed Bonds											
13.1 Issuer Obligations	┪					0	0.0			XXX	0
13.2 Single Class Mortgage-Backed/Asset-Backed Securities			<b>†</b>			1	0.0			XXX	T n
MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES:	-	İ	<b>†</b>			1	1				T
13.3 Defined						n	0.0			XXX	n
13.4 Other		<b>†</b>	t	t		1	0.0			XXX	T
MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED SECURITIES:	-	<b>†</b>	t	<b>†</b>		1					t
13.5 Defined						n	0.0			XXX	n
13.6 Other		†	t			10	0.0		0.0	XXX	T
13.7 Totals	-	0		0	0	0	0.0	Λ.	0.0	XXX	0
13.8 Line 13.7 as a % of Col. 6	0.0	0.0	0.0	0.0	0.0	0.0	XXX		XXX	XXX	0.0
							XXX	XXX		XXX	
13.9 Line 13.7 as a % of Line 10.7, Col. 6, Section 10	0.0	0.0	0.0	0.0	0.0	0.0	1 444	I YYY	XXX	1 444	0.0

## **SCHEDULE DA - VERIFICATION BETWEEN YEARS**

Short-Term Investments

Short-Term Investm	iciilo				
	1 1	2	3	4	5
	Total	Bonds	Mortgage Loans	Other Short-term Investment Assets(a)	Investments in Parent, Subsidiaries and Affiliates
Book/adjusted carrying value, December 31 of prior year	5,997,393	5,997,393	0	0	0
Cost of short-term investments acquired	280,919,968	280,919,968			
3. Accrual of discount	3,270	3,270			
Unrealized valuation increase (decrease)	0				
5. Total gain (loss) on disposals	0				
Deduct consideration received on disposals	278,981,901	278,981,901			
Deduct amortization of premium	0				
Total foreign exchange change in book/adjusted carrying value	0				
Deduct current year's other than temporary impairment recognized	0				
10. Book adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	7,938,729	7 ,938 ,729	0	0	0
11. Deduct total nonadmitted amounts	0				
12. Statement value at end of current period (Line 10 minus Line 11)	7,938,729	7,938,729	0	0	0

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule DB - Part A - VBY NONE

Schedule DB - Part B - VBY NONE

Schedule DB - Part C - VBY NONE

Schedule DB - Part D - VBY NONE

Schedule DB - Part E - VBY

NONE

Schedule DB - Part F - Section 1

NONE

Schedule DB - Part F - Section 2

NONE

## **SCHEDULE E - VERIFICATION BETWEEN YEARS**

(Cash Equivalents)

(Casii Equivalents)	1	2	3
	Total	Bonds	Other (a)
Book/Adjusted carrying value, December 31 of prior year	8,999,985	8,999,985	0
Cost of cash equivalents acquired	363,581,916	363,581,916	
Accrual of discount	16,495	16,495	
Unrealized valuation increase (decrease)	0		
Total gain (loss) on disposals	387	387	
Deduct consideration received on disposals	353,599,224	353,599,224	
7. Deduct amortization of premium	0		
Total foreign exchange change in book/adjusted carrying value	0		
Deduct current year's other than temporary impairment recognized	0		
10. Book/Adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	18,999,559	18,999,559	0
11. Deduct total nonadmitted amounts	0		
12. Statement value at end of current period (Line 10 minus Line 11)	18,999,559	18,999,559	0

 $<sup>(</sup>a)\ Indicate\ the\ category\ of\ such\ investments,\ for\ example,\ joint\ ventures,\ transportation\ equipment$ 

Schedule A - Part 1

NONE

Schedule A - Part 2

NONE

Schedule A - Part 3
NONE

Schedule B - Part 1

NONE

Schedule B - Part 2

NONE

Schedule B - Part 3

Schedule BA - Part 1

NONE

Schedule BA - Part 2
NONE

Schedule BA - Part 3

NONE

## **SCHEDULE D - PART 1**

								Showing All Le	ong-Term <b>BON</b>		mber 31 of Current Y									
1	2		des	6	7	Fair \		10	11		hange in Book Adjuste					Interest			Da	
		3 4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP	Partition .	o r e i g	Bond	NAIC	A	Rate Used to Obtain Fair	FilMil	Bayyala	Book/Adjusted	Unrealized Valuation Increase/	Current Year's (Amortization)/	Current Year's Other Than Temporary Impairment	Total Foreign Exchange Change in	Data (	Effective	Miss Bold	Admitted Amount Due &	Amount Rec.		Mar ii
Identification	Description  nts - Issuer Obligations	Code n	CHAR	Designation	Actual Cost	Value	Fair Value	Par Value	Carrying Value	(Decrease)	Accretion	Recognized	B./A.C.V.	Rate of	Rate of	When Paid	Accrued	During Year	Acquired	Maturity
U.S. GOVERNINE	FEDERAL HOME LOAN MTGE CO AGCY													Ι	Ι					$\vdash$
3137EA-BS-7	FTSTFEDERAL HOME LOAN MTGE CO AGCY	ļ	ļ	1FE	4, 151, 732	106.5630	4,262,520	4,000,000	4,115,143		(28,392)		-	4 . 125	3.290	MS	43,083	181,958	09/15/2008	09/27/2013_
3137EA-CF-4	FTST		ļ	1FE	20,089,460	99.6880	19,937,600	20,000,000	20,087,844		(1,616)			1 . 125	0.890	JD	43,750		12/17/2009	12/15/2011_
912828-GW-4	UNITED STATES TREASURY GOVERNMENT	SD		<sub>1</sub>	4,502,969	108.4530	4,555,026	4,200,000	4,377,568		(67,728)			4.875	3.090	JD	2,344	205,969	02/20/2008	06/30/2012_
912828-JD-3	UNITED STATES TREASURY GOVERNMENT	SD	<u> </u>	11	151,383	104.9610	157 , 442	150,000	150,994		(265)			3.375	3.170	JD	2,559	5,063	07/08/2008	06/30/2013
912828-KJ-8	UNITED STATES TREASURY GOVERNMENT	SD		1	50,059	97.6250	48,813	50,000	50,050		(0)			1.750	1.720	ме	224	438	03/30/2009	03/31/2014_
912828-KN-9	US TREASURY N/B	SD		1	1,572,498	97 .9450	1,567,120	1,600,000	1,575,655		3,157			1.875	2.240	AO	8,942	11,184	06/02/2009	04/30/2014_
	Total Bonds - U.S. Governments	- Issuer 0	bligations	•	30,518,100	XXX	30,528,520	30,000,000	30,357,253	0	(94,853)	0	0	XXX	XXX	XXX	100,902	404,611	XXX	XXX
	Subtotals - U.S. Governments Territories, Possessions (Direct and	I Cuarantand	Leaves Ob	alientiene	30,518,100	XXX	30,528,520	30,000,000	30,357,253	0	(94,853)	0	0	XXX	XXX	XXX	100,902	404,611	XXX	XXX
U.S. States, 373384-PJ-9	GEORGIA ST MUNI	ouar anteeu)	- 155001 00	.I 1FF	413,560	116.2680	406.938	350.000	413.391		. (169)			5.000	2.610	.l.l	438		12/11/2009_	07/01/2018_
641480-EK-0	NEVADA ST MUNI.			1FE	274,688	112.6490	281,623	250,000	268,083		(2,247)			5.000	3.800	JD	1,042	12,500	11/15/2006_	12/01/2016.
650035-DC-9	NEW YORK ST URBAN DEVELOPMENT MUNI	ļ	11	1FE	98,759	104 . 1590	104,159	100,000	98,803		28			5.000	5.090	MS	1,472	5,000	03/27/2008	03/15/2032_
658256-A8-5 79765A-G9-1	NORTH CAROLINA ST MUNI. SAN FRANCISCO CALIF CITY CNTY ARPT,	····	1	1FE1FE	1,000,357 1,616,715	116 . 2660 103 . 8320	988,261 1,557,480	850,000 1,500,000	1.599.531		(1,160)			5.000 5.000	1.460	MS MN	8,382 12,500	75,000	12/11/2009	03/01/2015_
971567-KE-1	WILMINGTON DEL MUNI		11	1FE	424,504	107.9300	431,720	400,000	421,325		(913)			5.000	4.520	AO	5,000	20,000	03/29/2006_	10/01/2025_
1199999 -	Total Bonds - U.S. States, Terr and Guaranteed) - Issuer Obli		d Possessi	ons (Direct	3,828,582	XXX	3,770,181	3,450,000	3,800,329	0	(10,475)	0	0	XXX	XXX	XXX	28,833	112,500	XXX	XXX
1799999 -	Subtotals - U.S. States, Territ	ories and	Possessions	s (Direct and	2 020 502		2 770 404	2 450 000	2 000 220	0	(10,475)	0	0				20, 022	112,500		
II S Politica	Guaranteed)  I Subdivisions of States, Territorie	s and Posses	ssions (Direc	rt and Guaranteen	3,828,582 d) - Issuer Obliga	XXX tions	3,770,181	3,450,000	3,800,329	U	(10,475)	Ü	U	XXX	XXX	XXX	28,833	112,500	XXX	XXX
504102-VM-3	LA PORTE TEX INDPT SCH DIST MUNI.		11	1FE	247,298	105.3710	263,428	250,000	247,498		93			5.000	5.070	FA	4,722	17 , 153	03/27/2008	02/15/2031_
64970K-7P-6	NEW YORK CITY MUNI WTR FIN AU MUNI	hdiviaiona	11	1FE	174,988	102.3380	179,092	175,000	174,995					5.000	5.000	JD	389	8,750	08/07/2008	06/15/2039_
	Total Bonds - U.S. Political Su and Possessions (Direct and G	Guaranteed)	- Issuer (	Obligations	422,285	XXX	442,519	425,000	422,493	0	93	0	0	XXX	XXX	XXX	5,111	25,903	XXX	XXX
2499999 -	Subtotals - U.S. Political Subc and Possessions (Direct and G		of States,	ierritories	422.285	XXX	442.519	425.000	422.493	0	93	0	0	XXX	XXX	XXX	5,111	25.903	XXX	XXX
U.S. Special	Revenue and Special Assessment Oblig		all Non-Guara	anteed Obligation	,		,	.,	,	suer Obligations	00			MM	, AAA	7,7,7	0,	20,000	7000	AAA
341507-XN-6	FLORIDA ST BOARD EDUC LOTTERY MUNI			1FE	450,980	110.5440	469,812	425,000	448,152		(2,221)			5.000	4.230	JJ	10,625		08/21/2008	07/01/2018_
472653-AK-0 574192-Y5-3	JEFFERSON AL SALES TAX MUNI. MDST MUNI.	····	11	4FE1FE	133,981	88 .7730 116 .2630	110,966 988,236	125,000 850,000	130,432		(775) (889)			5.250 5.000	4.410 2.100	JJ MN	3,281 6,847	6,563	12/21/2004	01/01/2016_
575566-R9-7	REF-GEN TRANSN SYS-SER A MUNI		111	1FE	276,823	103.3280	258,320	250,000	259,378		(4,091)			5.500	3.680	MS	4,583	13,750	06/10/2005	03/01/2012_
594614-VJ-5	MICHIGAN ST BLDG AUTH MUNI	ļ	16	1FE	420,379	114.8620	430,733	375,000	394,388		(4,681)			5.250	3.770	AO	4,156	19,688	11/19/2003	10/15/2014_
644614-RT-8	NEW HAMPSHIRE HGR EDL & HLTH MUNI	ļ	1	1FE	883,229	105.0220	<u>8</u> 66,432 338.181	825,000	877 , 277 337 . 963		(2,108)			5.500	4.450 3.950	JJ	20,625	41,250	01/08/2007	07/01/2026_
646135-5N-9 917565-HN-6	NEW JERSEY ST TRANSN TR FD MUNI. UTAH TRAN AUTHORITY SALES TAX MUNI.	····	1	1FE 1FE	346,713 387.045	112 .7270 104 .4770	391,789	300,000 375,000	386,728		(3,059)			5.000	4.790	JD .ID	733 833	16,500 18,750	01/08/2007	12/15/2019 06/15/2036_
927781-SZ-8	VIRGINIA COLLEGE BLDG AUTH MUNI		1	1FE	674,574	111.9320	671,592	600,000	673.656		(918)			5.000	0.940	FA	1.167		12/11/2009	02/01/2013_
932423-ME-3	WALLED LAKE MICHIGAN MUNI	ļ	16	1FE	403,759	101.6720	371,103	365,000	367,746		(8,158)			5.500	3.190	MN	3,346	20,075	04/22/2005_	05/01/2021_
2599999 -	Total Bonds - U.S. Special Reve																			
	Obligations and all Non-Guara Authorities of Governments ar				4 004 405	VVV	4 007 460	4 400 000	4 004 042	0	(27, 447)	0	0	VVV	NAMA.	VVV	FG 107	156 000		, , , , , , , , , , , , , , , , , , ,
U.S. Special	Issuer Obligations Revenue and Special Assessment Oblig	ations and a	all Non-Guara	anteed Obligation	4,984,495 as of Agencies and	XXX Authorities of	4,897,162 Governments and	4,490,000 Their Political	4,881,843 Subdivisions - Sino	gle Class Mortgage-Ba	(27,117) acked/Asset-Backed Securi	ties	0	XXX	XXX	XXX	56,197	156,999	XXX	XXX
	FEDERAL HOME LOAN MTGE CO		I Horr Gadre	,						g. c c raco mor rgago bi				F F00	F 000	HON	4 000	40.004	00/40/000=	00/04/000=
31396A-MX-9 31402D-JF-8	FHLMCFED NTL MTG ASSO FNMA			11	231,295 1,788,913	106 . 0730 106 . 8130	238,975 1,862,696	225,293 1,743,885	230,680 1,783,935		(1,116)			5.500 6.000	5.260 5.750	MON	1,033 8,719	12,391	08/16/2005	09/01/2035
	Total Bonds - U.S. Special Reve		ecial Asses	ssment	.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		.,552,550	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,,		(11,021)						,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		007 107 2000	0010112004_
	Obligations and all Non-Guara	anteed Obli	gations of	Agencies and											1					[ ]
	Authorities of Governments an				2,020,208	VVV	2 101 674	1,969,178	2,014,615	n	(12,743)	0	0	yuv	VVV	VVV	9,752	117,024	VVV	VVV
3199999 -	Single Class Mortgage-Backed/ Subtotals - U.S. Special Revenu				2,020,200	XXX	2,101,671	1,909,170	2,014,015	0	(12,743)	0		XXX	XXX	XXX	9,752	117,024	XXX	XXX
2.30000	Obligations and all Non-Guara Governments and Their Politic	anteed Obli	gations of		7,004,702	XXX	6.998.833	6.459.178	6.896.457	0	(39.861)		0	XXX	XXX	XXX	65.949	274.023	XXX	XXX

## **SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

											mber 31 of Current \									
1	2		odes	6	7	Fair \		10	11		hange in Book Adjuste	ed Carrying Value				Interest				ites
		3 4	5		1	8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description  d Miscel laneous (Unaffiliated) - Is	code n		NAIC Designation	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in B./A.C.V.	Rate of	Effective Rate of	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Maturity
	AT&T CORP	T			57.740	440, 4070	55.054	50,000	50,000		(4.404)			7 000	4 070		400	0.050		
001957-BC-2	CORPORATEA T & T CORPORATION	· <del> </del>	1	1FE	57 , 749	110 . 1070	55,054	50,000	52,320		(1,161)			7.300	4.670	MN	466	3,650	11/02/2004	11/15/2011
00206R-AR-3	CORPORATE		1	1FE	473,523	106.5960	506,331	475,000	473,601		78			5.800	5.840	FA	10,408	14,693	01/29/2009	02/15/2019
032511-AX-5	ANADARKO PETROLEUM CORPORATE		1	2FE	597,462	108 . 1700	649,020	600,000	598,069		220			5.950	6.000	MS	10,512	35,700	09/14/2006	09/15/2016
03523T-AB-4	ANHEUSER BUSCH CORPORATE		1	2FF	622,235	117.0790	614,665	525,000	621,767		(468)			7.775	5.190		18,822		12/07/2009	01/15/2019_
	APACHE CORP CORPORATE	1		1FE	100,305	106.5990	106,599	100,000	100,228		,			5.625	5.580		2,594	5,625	I	
037411-AS-4	ARCH WESTERN RESOURCES	1					,				(26)					J		,	01/23/2007	01/15/2017
03939R-AB-6	CORPORATEAUTONATION INC		1	4FE	54,347	99.2500	54,588	55,000	54,612		95			6.750	6.980	JJ	1,856	3,713	01/05/2007	07/01/2013
05329W-AE-2	CORPORATEBALL CORPORATION		11	3FE	10,000	95 . 1250	9,513	10,000	10,000		<del> </del>	<del> </del>		2.284	2.280	JAJ0	48	393	04/05/2006	04/15/2013
058498-AF-3	CORPORATE		11	3FE	37 , 100	101.2500	35,438	35,000	35,871		(263)			6.875	5.940	JD	107	2,406	09/09/2004	12/15/2012
058498-AL-0	BALL CORPORATION CORPORATE			3FE	24,950	99.0000	24,750	25,000	24,958		4			6.250	6.270	MS	460	1,656	03/07/2006	03/15/2018_
077454-AC-0	BELDEN CDT INC CORPORATE		,		22,000	97 .3750	21,423	22,000	22,000					7.000	7.000	MS	453	1,540	03/13/2007	03/15/2017_
	BIO-RAD LABORATORIES INC		1					·	1		(200)				i				I	
090572-AG-3	CORPORATEBIO-RAD LABORATORIES INC	· <del> </del>	1	3FE	43,250	102.1250	40,850	40,000	41,623		(390)	<b> </b>	<u> </u>	7.500	6.220	FA	1,133	3,000	09/26/2005	08/15/2013
090572-AK-4 13077Q-AE-3	CORPORATECALIFORNIA STEEL INDUSTRIES INC	· <del> </del>	1	3FE 4FE	20,000 38,300	100.0000 93.7500	20,000 37,500	20,000	20,000		217	<del> </del>	<del> </del>	6 . 125 6 . 125	6.120	JD MS	54 721	1,225 2,450	12/13/2004 01/03/2007	12/15/201403/15/2014_
	CENTERPOINT ENERGY INC	-	<u>-</u>				,				1							,		
15189T-AJ-6	CORPORATECENTERPOINT ENERGY INC		1	2FE	21,162	103.1590	20,632	20,000	20,145		(210)			7.250	6.100	MS	483	1,450	06/18/2004	09/01/2010
15189T-AN-7	CORPORATECHESAPEAKE ENERGY CORP		1	2FE	199,482	99 . 1830	198,366	200,000	199,589		44			5.950	5.980	FA	4,958	11,900	02/01/2007	02/01/2017
165167-BL-0	CORPORATE		11	3FE	14,858	98.0000	14,700	15,000	14,914		13			<u>6</u> .375	6.500	JD	43	956	12/01/2004	06/15/2015
165167-CC-9	CHESAPEAKE ENERGY CORP CORPORATE		<u>1</u>	3FE	190,000	100.7500	191,425	190,000	190,000					7.250	7.250	JD	612	13,775	05/20/2008	12/15/2018_
17275R-AB-8	CISCO SYSTEMS INC CORPORATE		] 1	1FF	249,523	104.9330	262,333	250,000	249,862		100			5.250	5.290	FA	4,703	13,125	02/14/2006	02/22/2011
17453B-AP-6	CITIZENS COMMUNICATIONS CO CORPORATE		1	255	38.588	100.2500	40,100	40,000	39,273		207			6.250	6.920		1,153	2,500	06/09/2006	
1	COMCAST CORP INC		1		1		,		,		I					JJJ		Z,J00		01/15/2013
20030N-AJ-0	CORPORATE COMCAST STORER INC		1	2FE	658,632	109.8830	659,298	600,000	658,107		(525)			5.850	3.980	MN	4,485		12/07/2009	11/15/2015
20030N-AW-1	CORPORATECOMCAST CORP		11	2FE	349,916	105 . 1250	367,938	350,000	349,906		6			5.700	5.700	MN	2,549	19,950	05/02/2008	05/15/2018_
20030N-AZ-4	CORPORATE			2FE	332,596	104.9040	325,202	310,000	332,502		(94)			5.700	4.740	JJ	8,835		12/08/2009	07/01/2019
20854P-AB-5	CONSOL ENERGY INC CORPORATE	<u> </u>	1	3FE	38,288	107 . 1250	37,494	35,000	36,244		(524)			7 .875	6.090	MS	919	2,756	10/27/2005	03/01/2012_
22025Y-AJ-9	CORRECTIONS CORPORATION OF AMERICA	N	11	3FE	20,000	100.0000	20,000	20,000	20,000		ļ	<b></b>		6.750	6.750	JJ	566	1,350	01/18/2006	01/31/2014
224044-BU-0	CORPORATE		11	2FE	1,260,810	126 . 5390	1,246,409	985,000	1,259,802		(1,008)		<b>.</b>	9.375	5.420	JJ	42,581		12/10/2009	01/15/2019
233835-AW-7	DAIMLER CHRYSLER CORPORATE	<u>.</u>		2FE	527,340	109.6240	548,120	500,000	517 , 132		(3,879)			6.500	5.500	MN	4,153	32,500	03/23/2007	11/15/2013_
25459H-AD-7	DIRECTY HOLDING LLC CORPORATE		1	2FF	24.776	103.8750	25,969	25,000	24,859		21			6.375	6.490	In In	71	1,594	07/19/2005_	06/15/2015
	DRUMMOND CO INC	1	]		, .		,				]					JU			I	1
262277 - AA -4	CORPORATEECHOSTAR DBS CORPORATION	·†	-{1	4FE	50,000	97.7500	48,875	50,000	50,000		<del> </del>	t		7.375	7.370	FA	1,393	3,688	02/08/2006	02/15/2016
27876G-AQ-1	CORPORATEECHOSTAR DBS CORPORATION		11	3FE	14,569	103.2500	15,488	15,000	14,833		85	ļ	+	6.375	7.050	AO	239	956	08/16/2006	10/01/2011
27876G-BE-7	CORPORATE		11	3FE	39,696	102.1250	40,850	40,000	39,786		27		<b>-</b>	7 . 125	7.230	FA	1,188	2,850	02/16/2006	02/01/2016
27876G-BF-4	ECHOSTAR DBS CORPORATION CORPORATE	<u> </u>	.11	3FE	19,597	102.8750	20,575	20,000	19,754		54		<u> </u>	7.000	7.370	AO	350	1,400	09/28/2006	10/01/2013
35671D-AS-4	FREEPORT-MCMORAN C & G CORPORATE		] 1	2FF	87 , 138	109.5000	87,600	80,000	85,706		(586)			8.375	7.090	AO.	1,675	6,700	06/26/2007	04/01/2017_
1	GENERAL ELECTRIC CAPITAL CORP CORPORATE	1 1		1FF	1,042,210	102.4740	1,070,853	1,045,000	1.042.508		220			5.625	5.660	IA.	9,797	58,781	I	1
36962G-3U-6	GOLDMAN SACHS & CO	1	1						, , , , , , , , , , , , , , , , , , , ,		(724)					MIN			04/16/2008	05/01/2018
38141G-FM-1	CORPORATE		1	l 1FF	1.809.036	107.0500	1.926.900	1.800.000	1.807.751 L		1 (/24)	1	1	6.150	6.080	AO.	27.675	110.700	04/22/2008	04/01/2018

## **SCHEDULE D - PART 1**

											mber 31 of Current									
1	2		des	6	7		Value	10	11		hange in Book Adjuste	ed Carrying Value			·	Interest		0.5		ates
CUSIP		3 4 F o r e i	5 Bond	NAIC		8  Rate Used to Obtain Fair	9		Book/Adjusted	12 Unrealized Valuation Increase/	13  Current Year's (Amortization)/	14  Current Year's Other Than Temporary Impairment	Total Foreign Exchange Change in	16	17 Effective	18	19 Admitted Amount Due &	20 Amount Rec.	21	22
Identification	Description	Code n	CHAR	Designation	Actual Cost	Value	Fair Value	Par Value	Carrying Value	(Decrease)	Accretion	Recognized	B./A.C.V.	Rate of	Rate of	When Paid	Accrued	During Year	Acquired	Maturity
	GOLDMAN SACHS & CO					407 5400	205 675	075 000		,	71			5.450	5.480		0.400		·	
38144L-AC-4	CORPORATE			1FE	274,634	107 . 5180	295,675	275,000	274,756							MN	2,498	14,988	10/11/2007	11/01/2012_
38146F-AA-9	CORPORATE HEALTH MANAGEMENT PLC			1FE	590,520	103.8930	592,190	570,000	585,387		(5,133)			3.250	2.110	JD	823	19,245	02/20/2009	06/15/2012
421933-AH-5	CORPORATE HONEYWELL INTL		11	3FE	66,091	93.7500	65,625	70,000	67,079		358			6.125	6.950	AO	905	4,288	01/17/2007	04/15/2016
438516-AZ-9	CORPORATE		11	1FE	199,286	103.5470	207,094	200,000	199,322		36			5.000	5.040	FA	3,778	4,861	02/17/2009_	02/15/2019
440543-AB-2	HORNBECK OFFSHORE SERVICES INC JP MORGAN CHASE		1	3FE	29,438	93.3750	28,013	30,000	29,636		60			6.125	6.400	JDJD.	153	1,838	05/17/2006	12/01/2014
481247 - AK - 0	CORPORATE			1FE	663,910	101.3900	674,244	665,000	664,169		259			2.200	2.250	JD	650	11,867	02/25/2009_	06/15/2012
50075N-AV-6	CORPORATEL-3 COMMUNICATIONS CORP			2FE	645,750	105.4350	632,610	600,000	645,512		(238)			6.125	5.030	FA	13,067		12/07/2009	08/23/2018
502413-AS-6	CORPORATE		1	3FE	9,500	99.8750	9,988	10,000	9,673		53			5.875	6 . 640	JJ	271	588	06/09/2006	01/15/2015
502413-AU-1	L-3 COMMUNICATIONS CORP CORPORATE		1	3FE	24,749	100.3750	25,094	25,000	24,833		23			6.375	6.510	AO	336	1,594	11/01/2005	10/15/2015
527288-BC-7	LEUCADIA NATIONAL CORP CORPORATE		<sub>1</sub>	3FE	149,125	94.5000	141,750	150,000	149,300		69			7 . 125	7.200	MS	3,147	10,688	05/17/2007	03/15/2017
61746B-CW-4	MORGAN STANLEY DEAN WITTER CORPORATE			1FE	748,485	105.5320	791,490	750,000	749,351		289			5.625	5.670		20,156	42,188	08/02/2006	
62940Q-AA-3	NSG HOLDING LLC CORPORATE		1	3FF	50,000	89.5000	44,750	50,000	50,000					7.750	7.750	In.	172	3,875	03/06/2007	12/15/2025
	NEVADA POWER COMPANY		·		,		,	· ·	1 ' 1				Ī			JJU		,		
641423-BK-3	CORPORATE NEWFIELD EXPLORATION COMPANY		11	2FE	10,000	107 . 3150	10,732	10,000	10,000					5.875	5.870	JJJ	271	588	11/09/2004	01/15/2015
651290-AJ-7	CORPORATE PROCTOR & GAMBLE CO		1	3FE	35,000	100.2500	35,088	35,000	35,000					6.625	6.620	A0	490	2,319	03/29/2006	04/15/2016
742718-DN-6	CORPORATESESI_LLC		11	1FE	199,648	102.3680	204,736	200,000	199,666		18		<del> </del>	4.700	4.720	FA	3,551	4,935	02/03/2009_	02/15/2019
78412F-AF-1	CORPORATESCHOLASTIC CORP		1	4FE	19,698	98.5000	19,700	20,000	19,812		35		<b></b>	6.875	7 . 120	JD	115	1,375	05/17/2006	06/01/2014
807066-AG-0	CORPORATE		11	4FE	21,781	93.5000	23,375	25,000	23,225		460			5.000	7 . 470	AO	264	1,250	07/25/2006	04/15/2013
832248-AQ-1	SMITHFIELD FOODS INC CORPORATE			5FE	10,000	92.2500	9,225	10,000	10,000				ļ	7.750	7.750	JJ	388	775	06/19/2007	07/01/2017
843830-AD-2	SOUTHERN STAR CENTRAL GAS CORPORATE		11	3FE	14,956	96.5000	14,475	15,000	14,966		4			6.750	6.790	MS	338	1,013	04/06/2006	03/01/2016
845467-AE-9	SOUTHWESTERN ENERGY COMPANY CORPORATE		1	3FF	75,000	106.0000	79,500	75,000	75,000					7.500	7.500	F <sub>A</sub>	2,344	5,625	01/11/2008_	02/01/2018
880779-AU-7	TEREX CORPORATION CORPORATE	7	1	5FF	60,000	96.2500	57,750	60,000	60,000					8.000	8.000	MN	613	4,800	11/07/2007_	11/15/2017
	TESORO CORP		]'		40,000	99.5000	39,800	40,000	40,000					6.250	6.250		417	2,500		
881609-AQ-4	CORPORATE TESORO CORP		1	3FE	,			1								MIN			11/08/2005	
881609-AT-8	CORPORATETEXAS INDUSTRIES INC		1	3FE	15,000	93.0000	13,950	15,000	15,000				<del> </del>	6.500	6.500	JJD	81	975	05/23/2007	
882491-AK-9	CORPORATE		11	4FE	113,369	98.2500	108,075	110,000	111,745		(425)		<del> </del>	7.250	6.730	JJJ	3,677	7,975	11/09/2005	07/15/2013
88732J-AQ-1	CORPORATETIME_WARNER		11	2FE	499,245	116.8740	584,370	500,000	499,220		34			8.250	8.290	FA	15,698	30,479	11/13/2008	02/14/2014
88732J-AS-7	CORPORATE		11	2FE	634,048	119 . 1090	625,322	525,000	633,514		(533)		ļ	8.250	5.380	AO	10,828		12/07/2009	04/01/2019
893570-BT-7	TRANSCONTINENTAL GAS PIPE LINE CORP.		1	2FE	11,650	114.6170	11,462	10,000	10,659		(234)			8.875	6.030	JJ	409	888	04/05/2005_	07/15/2012
893570-BW-0	TRANSCONTINENTAL GAS PIPE LINE CORP			2FE	70,000	109.0860	76,360	70,000	70,000					6.400	6.400	AO .	946	4,480	04/06/2006_	04/15/2016
92276M-AK-1	VENTAS REALTY CORPORATE		1	2FF	10,525	100.0000	10,000	10,000	10,331		(50)			7.125	6.390	,in	59	713	08/25/2005_	
960413-AE-2	WESTLAKE CHEMICAL CORPORATE		4	3FF	9,967	95.6250	9,563	10,000	9,977		3			6.625	6.670	11	305	663	01/10/2006	
	WILLIAMS COS	1	1					1	1		49					J	594			
969457-BF-6	CORPORATE WINDSTREAM CORP	+	· 1	2FE	24,750	106.8710	26,718	25,000	24,907		49		<b>†</b>	7.125	7.350	MS		1,781	06/27/2006	
97381W-AC-8	CORPORATE WINDSTREAM CORP		1	3FE	50,000	103.7500	51,875	50,000	50,000				<b></b>	8.125	8.120	FA	1,693	4,063	06/28/2006	
97381W-AD-6	CORPORATE XTO ENERGY INC		11	3FE	48,774	101.7500	50,875	50,000	49,077		98			8.625	9.000	FA	1,797	4,313	06/28/2006	08/01/2016_
08385Y_AK_2	CORPORATE		1 4	2FF	101 450	109 5670	109 567	100 000	100 807		(285)	1		5 900	5 550	FΔ	2 458	5 900	08/21/2007	08/01/2012

## **SCHEDULE D - PART 1**

								Showing All L	ong-Term BON	DS Owned Dece	mber 31 of Current \	Year								
1	2		odes	6	7		Value	10	11		hange in Book Adjuste	ed Carrying Value				Interest				ites
		3 4 F o r	5			8	9			12	13	14 Current Year's	15	16	17	18	19	20	21	22
CUSIP Identification		e i g Code n	Bond CHAR	NAIC Designation	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in B./A.C.V.	Rate of	Effective Rate of	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Maturity
98385X-AL-0	XTO ENERGY INC CORPORATE		1	2FF	174,493	113.2340	198,160	175,000	174,582		41			6.250	6.280	FΔ	4,557	10,938	07/12/2007	_08/01/2017_
984121-BN-2	XEROX CORPORATION CORPORATE		11	2FE	15,844	106.8560	16,028	15,000	15,249		(143)			6.875	5.780	FA	390	1,031	05/26/2005	08/15/2011
984121-BP-7	XEROX CORPORATION CORPORATE	<u> </u>	11	2FE	19,883	106.3120	21,262	20,000	19,917		11			5.850	5.920	MS.	345	1,280	03/15/2006	03/15/2016_
98412J-BA-1	XEROX CORPORATION CORPORATE	ļ		2FE	27 , 188	107 . 5350	26,884	25,000	26,448		(186)			7.200	6.060	A0	450	1,800	10/31/2005	04/01/2016
98956P-AA-0	ZIMMER HOLDINGS INC CORPORATE		11	2FE	124,908	99.0920	123,865	125,000	124,902		(5)			4.625	4.630	MN	707		11/12/2009	11/30/2019_
25179S-AC-4	DEVON FINANCING CORP CORPORATE		1	2FE	437,008	108.6320	434,528	400,000	434,371		(2,637)			6.875	1.850	MS	6,951		11/06/2009	09/30/2011_
46489N-AD-4	ISPAT INLAND ULC CORPORATE		15	2FE	177,986	105.0000	159,600	152,000	166,366		(2,814)			9.750	7.110	AO	3,705	14,820	10/19/2005	04/01/2014
65334H-AC-6	NEXEN INC CORPORATEROGERS CABLE INC		15	2FE	167 , 167	104.3000	182,525	175,000	169,818		1,152			5.050	5.910	MN	1,006	8,838	08/07/2007	11/20/2013.
77509N-AP-8	CORPORATE		15	2FE	54,938	113.0550	62,180	55,000	54,957		5			6.750	6.760	MS	1,093	3,713	06/02/2005	03/15/2015_
959053-AD-1 204386-AF-3	CORPORATE		15	2FE 3FE	358,979 35,863	111.9350 99.2500	363,789 34,738	325,000 35,000	342,883		(6,979) (84)			8.375 7.500	5.810 7.120	MN	4,536 335	27,219 2,625	08/02/2007_ 01/27/2006_	05/01/2012_
28264Q-R6-3	EKSPORT FINANCE  CORPORATE	F	5	1FF	995,360	98.4690	984,690	1,000,000	995,444		84			3.000	3.100	MN	3,667		11/09/2009	11/17/2014
66989G-AA-8	NOVARTIS FIANACE CORPORATE	F	15	1FF	973,265	105.0530	1,024,267	975,000	973,332		67			5.125	5.140	FA	19,571	24,984	02/04/2009	02/10/2019
74727P-AJ-0	STATE OF QATAR CORPORATE	F.	15	1FE	289,623	100.2500	290,725	290,000	289,621		(2)			4.000	4.020	JJ	1,192		11/17/2009_	01/20/2015
81180R-AE-2	SEAGATE TECH CORPORATE		15	3FE	34,896	96.7500	33,863	35,000	34,918		9			6.800	6.840	AO	595	2,380	09/15/2006	10/01/2016
85771T-AC-8	STATS CHIPPAC INC CORPORATE		15	3FE	5,000	100.6250	5,031	5,000	5,000					6.750	6.750	MN	43	338	11/05/2004	11/15/2011
87927V-AQ-1	TELECOM ITALIA CAPITAL CORPORATE		15	2FE	712,883	104.5550	784,163	750,000	723,650		3,729			5.250	5.970	AO	9,844	39,375	12/04/2006	10/01/2015
	Total Bonds - Industrial and Mi Issuer Obligations		,	,	19,221,062	XXX	19,772,188	18,504,000	19,175,571	0	(21,202)	0	0	XXX	XXX	XXX	318,343	705,746	XXX	XXX
Industrial an 02586G-AR-3	d Miscellaneous (Unaffiliated) - Oth	er Multi-Cl	ass Commercia	al Mortgage-Backe	d/Asset-Backed Se 549,997	curities 100.4270	552,349	550,000	549,998		1	1		4.020	4.020	MON	983	22 110	08/01/2008	01/15/2011
05522R-CG-1	AMERICAN EXPRESS ISSURANCE TRUST BAC CAPITAL TRUST 08-A9 ABS FTST			1FF	724,995	100.4270	727,958	725,000	724,996		1			4.020	4.070	MON	1,311	22,110	07/29/2008_	01/15/2011
46629G-AE-8	JP MORGAN COM MTG 2006-CIBC16			1FE	753,728	95.0520	712,890	750,000	753,663		1			5.552	5.520	MON	3,470	41,640	09/14/2006_	05/01/2045_
79549A-JJ-9 3799999 -	SALOMON BROS MTG 7 2001-C2 Total Bonds - Industrial and Mi	scellaneo	us (Unaffil	- <u> </u> 1FE iated) - Other	1,037,364	104.8170	1,039,501	991,729	1,007,804		(8,513)			6.499	5.520	MON	5,371	64,449	03/31/2006	10/01/2011
	Multi-Class Commercial Mortga	ige-Backed.	/Asset-Back	ed Securities	3,066,085	XXX	3,032,698	3,016,729	3,036,461	0	(8,510)	0	0	XXX	XXX	XXX	11,135	157,707	XXX	XXX
	Subtotals - Industrial and Misc Subtotals - Issuer Obligations	ellaneous	(Unaffilia	ted)	22,287,146 58,974,523	XXX	22,804,885 59,410,570	21,520,729 56,869,000	22,212,033 58,637,489	0	(29,712) (153,554)	0	0	XXX	XXX	XXX	329,478 509,386	863,452 1,405,758	XXX	XXX
	Subtotals - Single Class Mortga	age - Backed	/Asset-Back	ed Securities	2,020,208	XXX	2,101,671	1,969,178	2,014,615	0	(12,743)	0	0	XXX	XXX	XXX	9,752	1,405,756	XXX	XXX
	Subtotals - Other Multi-Class ( Backed Securities				3,066,085	XXX	3,032,698	3,016,729	3,036,461	0	(8,510)	0	0	XXX	XXX	XXX	11,135	157,707	XXX	XXX
					, ,			,,,,,,	, ,		(2,514)						,			
				-																
		<u> </u>																		
			-																	
															1					
				<b></b>			<del> </del>					<del> </del>	<del> </del>	<b> </b>	<del> </del>	<del> </del>			ļ	<u> </u>
8399999	Total Bonds	·			64,060,816	ХХХ	64,544,938	61.854.908	63.688.565	0	(174.808)	0	0	XXX	XXX	XXX	530,273	1.680.489	XXX	ХХХ

# Schedule D - Part 2 - Section 1 NONE

Schedule D - Part 2 - Section 2

NONE

## **SCHEDULE D - PART 3**

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP					Number of	Actual		Paid for Accrued
Identification	Description	Foreign	Date Acquired	Name of Vendor	Shares of Stock	Cost	Par Value	Interest and Dividends
Bonds - U.S. Governm	<u> </u>		_ = ===================================					
3137EA-CF-4	FEDERAL HOME LOAN MTGE CO AGCY FTST		12/17/2009	IMORGAN STANLEY		20.089.460	20,000,000	35.625
912828-KJ-8	UNITED STATES TREASURY GOVERNMENT		03/30/2009	BANK OF AMERICA		50,059	50,000	
912828-KN-9	US TREASURY N/B		06/02/2009	BANK OF AMERICA		1,572,498	1,600,000	2,272
0399999 - Total	- Bonds - U.S. Government	•	•	•		21,712,016	21,650,000	37,897
Bonds - U.S. States,	Territories and Possessions (Direct and Guaranteed)				<del></del>			
	GEORGIA ST MUNI			CITIGROUP GLOBAL MARKETS INC.		413,560	350,000	
658256 - A8 - 5	NORTH CAROLINA ST MUNI		12/11/2009	WELLS FARGO		1,000,357	850,000	6,611
1799999 - Total	- Bonds - U.S. States, Territories and Possessions (Dir	ect and Guaranteed)				1,413,917	1,200,000	6,611
Bonds - U.S. Special		·				•		
	MDST MUNI			WELLS FARGO		1,007,012	850,000	5,076
927781-SZ-8	VIRGINIA COLLEGE BLDG AUTH MUNI			WELLS FARGO		674,574	600,000	
		and all Non-Guaranteed	Obligations of Ager	ncies and Authorities of Governments and Their Political Subdivisions		1,681,586	1,450,000	5,076
	and Miscellaneous (Unaffiliated)					•		
	A T & T CORPORATION CORPORATE		01/29/2009	J.P. MORGAN		473,523	475,000	
03523T-AB-4	ANHEUSER BUSCH CORPORATE			BNP PARIBAS.		622,235	525,000	16,388
20030N-AJ-0	COMCAST_CORP_INC CORPORATE			CITIGROUP GLOBAL MARKETS INC.		658,632	600,000	2,438
20030N-AZ-4	COMCAST CORP CORPORATE		12/08/2009	CITIGROUP GLOBAL MARKETS INC.		332,596	310,000	8,491
224044-BU-0	COX COMMUNICASTIONS CORPORATE			UBS_SECURITIES		1,260,810	985,000	38,477
38146F - AA - 9 438516 - AZ - 9	GOLDMAN SACHS & CO CORPORATE CORPORATE		02/20/2009	GOLDMAN SACHS			570,000 200.000	4,323
481247 - AK - 0	JP MORGAN CHASE CORPORATE		02/17/2009 02/25/2009	J.P. MURGAN CITIGROUP GLOBAL MARKETS INC		663,910	665,000	366
50075N-AV-6	KRAFT FOODS INC CORPORATE		12/07/2009	NOMURA SECURITIES		645,750	600,000	10,923
742718-DN-6	PROCTOR & GAMBLE CO CORPORATE		02/03/2009	J.P. MORGAN		199,648	200,000	10,923
88732J-AS-7	TIME WARNER CORPORATE		12/07/2009	CITIGROUP GLOBAL MARKETS INC		634,048	525.000	8,302
98956P-AA-0	ZIMMER HOLDINGS INC CORPORATE			JP MORGAN CHASE BANK		124,908	125,000	
25179S-AC-4	DEVON FINANCING CORP CORPORATE	1		RBC CAPITAL MARKETS		437.008	400.000	3,208
28264Q-R6-3	EKSPORT FINANCE CORPORATE	F		BANK OF AMERICA		995,360	1,000,000	,===
66989G-AA-8	NOVARTIS FIANACE CORPORATE	F	02/04/2009	JP MORGAN CHASE BANK		973,265	975,000	
74727P-AJ-0	STATE OF QATAR CORPORATE	F	11/17/2009	BARCLAYS CAPITAL		289,623	290,000	
3899999 - Total	- Bonds - Industrial and Miscellaneous (Unaffiliated)			•		9,101,121	8,445,000	92,915
8399997 - Subto	tals - Bonds - Part 3					33.908.640	32.745.000	142,499
8399998 - Summa	ry item from Part 5 for Bonds					4,987,006	4,894,485	5,999
8399999 - Subto	tals - Bonds					38,895,645	37,639,485	148,498
					<del> </del>	<u> </u>		<b> </b>
9999999 Totals						00.005.045	WW	440.400
agagaga i otais						38,895,645	XXX	148,498

## **SCHEDULE D - PART 4**

					Showing all	Long-Term	Bonds and S	tocks SOLD,	REDEEMED 0	r Otherwise DI	SPOSED OF I	<b>During Current</b>	Year						
1	2	3 4	5	6	7	8	9	10		Change in E	Book/Adjusted Ca	arrying Value		16	17	18	19	20	21
		_							11	12	13	14	15					· '	
		6								'2	13	'7	15					· '	
		r						5. ,,			Current Year's		<b>.</b>	Book/				Bond	
CUSIP		e i		Number of				Prior Year Book/Adjusted	Unrealized Valuation	Current Year	Other Than Temporary	Total Change in	Total Foreign Exchange	Adjusted	Foreign Exchange Gain	Realized Gain	Total Gain	Interest/Stock Dividends	
Identi-		g Disposal		Shares of				Carrying	Increase/	(Amortization)/	Impairment	B/A. C.V.	Change in	at	(Loss) on	(Loss) on	(Loss) on	Received	Maturity
fication	Description	n Date	Name of Purchaser	Stock	Consideration	Par Value	Actual Cost	Value	(Decrease)	Accretion	Recognized	(11+12-13)	B/A. C.V.	Disposal Date	Disposal	Disposal	Disposal	During Year	Date
Bonds - U.S. 36202E-QB-8		06/22/2009	VARIOUS		15,126,670	14,536,378.00	14,922,500	14,919,707		(95,616)		(95,616)		14,824,091		302.579 L	302,579	423,094	11/01/2037
	UNITED STATES TREASURY	ı		1				1		1									
912828-CC-2	GOVERNMENTUNITED STATES TREASURY	04/14/2009	VARIOUS		50,000	50,000.00	47,043	49,784		216		216		50,000			0	656	03/15/2009
912828-FE-5	GOVERNMENT	07/31/2009	VARIOUS		1,600,000	1,600,000.00	1,599,590	1,599,779		221		221		1,600,000			0	48,750	05/15/2009
	Bonds - U.S. Governments		. 0		16,776,670	16,186,378.00	16,569,133	16,569,270	0	(95, 179)	0	(95, 179)	0	16,474,091	0	302,579	302,579	472,500	XXX
Bonds - U.S.	States, Territories and Possessions (	Direct and Guar	anteed)  BLACKROCK INSTITUTIONAL	1	1						I	1		ı					
20772G-LK-2	_ CONNECTICUT ST MUNI	09/17/2009	MANAGEMENT	.	291,373	255,000.00	287,553	275,804		(2,579)		(2,579)		273,225		18,149	18,149	10,306	
677520-HW-1 709141-C8-6	OHIO COMMON SCHOOLS MUNI PENNSYLVANIA STATE MUNI	12/01/2009	MERRILL LYNCH	-		625,000.00 400,000.00	674,825 425,216	666,405 424,088		(2,896)		(2,896)		663,510		29,165 12,743	29,165 12,743	38 , 108 21 , 556	09/15/2019 07/01/2011
880443-BS-4	TENN ENERGY ACQUISITION CORP MUNI	04/29/2009	GOLDMAN SACHS		404,290	500,000.00	383,160	383,917 1,077,026		1,086		1,086		385,003		19,287	19,287	17,719	09/01/2026
928172-HM-0	VIRGINIA STATE MUNI  Bonds - U.S. States, Territories and P	09/17/2009			1,131,990 2,951,716	2,780,000.00	1,146,730 2,917,484	2,827,239	0	(21,303)		(21,303)	0	1,065,554 2,805,937	0	66,436 145,780	66,436 145,780	57,083 144,772	
	Political Subdivisions of States, Ter			teed)	2,551,710	2,700,000.00	2,511,404	2,021,233	0	(21,000)		(21,000)	0	2,000,001	V	140,700	143,700	144,112	AAA
51166F - AA - 7	LAKELAND FLA ENERGY SYS REV MUNI	04/09/2009	MORGAN STANLEYBLACKROCK INSTITUTIONAL		485,790	455,000.00	485,085	469,428		(2,304)		(2,304)		467 , 123		18,667	18,667	13,486	10/01/2010
	OKLAHOMA CITY MUNI	09/17/2009	MANAGEMENT		305,652	275,000.00	299,203	287,933		(2,419)		(2,419)		285,514		20,138	20,138	14,552	09/01/2012_
2499999 - 1	Bonds - U.S. Political Subdivisions of Guaranteed)	States, Territ	ories and Possessions (Direc	t and	791,441	730,000.00	784,287	757 , 361	0	(4,724)	0	(4,724)	0	752,637	0	38,804	38,804	28,038	XXX
Bonds - U.S.	Special Revenue and Special Assessmen			ncies and Author															
196454-EZ-1 31396A-MX-9	COLORADO DEPT TRANS REV MUNI FEDERAL HOME LOAN MTGE CO FHLMC.	12/01/2009	MORGAN STANLEYMBS PAYDOWN.		964,699 43,084	850,000.00 43,084.00	927,010 44,232	909,376		(4,712)		(4,712)		904,664 43,084		60,035	60,035	32,701 1,272	12/15/2016
31402C-4G-4	FED NTL MTG ASSO FNMA	09/25/2009	VARIOUS		261,586	251,886.00	250,666	250,757		205		205		250,962		10,624	10,624	10,100	05/01/2034_
	FED NTL MTG ASSO FNMA	09/25/2009 12/01/2009			13,480,319	12,997,317.00	12,961,777 518,328	12,963,733 506,754		7,055 (1,473)		7,055		12,970,789 505,281		509,530	509,530	513,888 16,105	
31410D-NC-0	FED NTL MTG ASSO FNMA	06/11/2009	VARIOUS		1,180,965	1,115,712.00	1,161,735	1,160,806		(5,095)		(5,095)		1,155,711		25,254	25,254	37,616	07/01/2036
341507 - XM - 8 57582N - 2V - 6	FLORIDA ST BOARD EDUC LOTTERY MUNI	12/03/2009		<b></b>	480,900 500,888	425,000.00	453,284 475,635	452,521 473,277		(2,600)		(2,600)		449,921 467,151		30,979 33,737	30,979 33,737	29,691 26,740	07/01/2017
646139-JN-6	NEW JERSEY ST TURNPIKE MUNI	09/17/2009	J.P. MORGAN		1,756,635	1,500,000.00	1,730,895	1,727,037		(39,569)		(39,569)		1,687,468		69,167	69, 167	119,438	01/01/2013
658256 - VA - 7	NORTH CAROLINA ST MUNI REGIONAL TRANS DISTRICT COLORADO	02/04/2009			555,825 1,213,810	500,000.00	537,980 1,116,330	536,743		(1, 142)		(1,142)		535,601 1,111,549		20,224 102,261	20,224	8,889 10,417	
			CABRERA CAPITAL MARKETS	·															
	WALLED LAKE MICHIGAN MUNI  Bonds - U.S. Special Revenue and Speci	01/23/2009		tions of	386,440	365,000.00	402,920	375,667		(591)		(591)		375,076		11,365	11,365	4,807	05/01/2010
	Agencies and Authorities of Govern	ments and Their	Political Subdivisions	LIUIIS UI	21,330,433	19,978,280.00	20,580,791	20,511,927	0	(54,671)	0	(54,671)	0	20,457,256	0	873,177	873,177	811,664	XXX
Bonds - Indus	strial and Miscellaneous (Unaffiliated	)	1		1	1								1					
009363-AD-4	AIRGAS INC CORPORATE	10/13/2009	CALLED SECURITY at 103.125		30,938	30,000.00	30,713	30,461		(59)		(59)		30,402		536	536	2,333	07/15/2014
023551-AH-7	AMERADA HESS CORPORATION CORPORATE	12/17/2009	J.P. MORGAN		43,798	40,000.00	44,277	41,845		(652)		(652)		41,194		2,604	2,604	3,561	08/15/2011_
029171-AF-2	AMR CORP CORPORATE	06/12/2009			103,644	115,000.00	87,975	88,618		1,244		1,244		89,862		13,782	13,782	6,874	
	BANK OF AMERICA	1	CREDIT SUISSE FIRST BOSTON			·		1		1,244		1,244							
060505-DR-2	CORPORATE BANK OF AMERICA	05/14/2009	CORPCREDIT SUISSE FIRST BOSTON		350,000	500,000.00	500,000	500,000				0		500,000		(150,000)	(150,000)	32,111	07/30/2049
060505-DT-8	_ CORPORATE	05/14/2009	CORP		173,750	250,000.00	250,000	250,000				0		250,000		(76,250)	(76,250)	10,382	05/15/2018
163893-AA-8	CHEMTURA CORPORATION CORPORATE	03/11/2009	CITIGROUP GLOBAL MARKETS	ļ	2,500	10,000.00	9,945	9,955				0		9,955		(7,455)	(7,455)	201	06/01/2016
17453B-AP-6	CITIZENS COMMUNICATIONS CO CORPORATE	10/16/2009	CALLED SECURITY at 97.000_		9,700	10,000.00	9,788	9,863		23		23		9,886		(186)	(186)	783	01/15/2013
25459H-AB-1	DIRECTY HOLDING LLC CORPORATE	09/22/2009	CALLED SECURITY at 103.125		36,094	35,000.00	36,356	35,950		(139)		(139)		35,811		283	283	2,988	03/15/2013
36962G-3U-6	GENERAL ELECTRIC CAPITAL CORP CORPORATE	10/06/2009	MORGAN STANLEY		55,518	55,000.00	54,853	54,857						54,869		649	649	2,905	05/01/2018
608328-AT-7	MOHEGAN TRIBAL GAMING CORPORATE	06/26/2009			19,313	25,000.00	20,750	20,750			9,750	(9,750)		11,000		8,313	8,313	1,344	
68402L-AC-8_	ORACLE CORPORATION CORPORATE	12/14/2009			301,403	275,000.00	261,718	264,709		1,162		1,162		265,871		35,532	35,532	20,533	
700690-AL-4_	PARK PLACE ENTERTAINMENT CORP CORPORATE	01/05/2009			8,040	15,000.00	7,350	7,350				0		7,350		690	690	132	
779273-AG-6	ROUSE COMPANY CORPORATE	04/17/2009			5.775	15.000.00	4,725	4,725				1		4,725		1,050	1,050	102	11/26/2013
79549A-JJ-9	SALOMON BROS MTG 7 2001-C2STATION CASINOS		CALLED SECURITY at 100.000		35,854	35,854.00	37,504	36,743		(889)		(889)		35,854		1,000	0	1,076	
857689-AV-5	CORPORATE	06/26/2009	BARCLAYS CAPITAL		5,213	15,000.00	3,000	3,000		ļ		0		3,000		2,213	2,213	ļ	04/01/2012

## **SCHEDULE D - PART 4**

					Showing all	Long-Term I	Bonds and St	tocks <b>SOLD</b> , I	REDEEMED C	r Otherwise <b>DI</b>	SPOSED OF [	<b>During Current</b>	Year						
1	2	3 4	5	6	7	8	9	10		Change in B	look/Adjusted Ca	arrying Value		16	17	18	19	20	21
		F							11	12	13	14	15						
CUSIP		r e		Number of				Prior Year Book/Adjusted	Unrealized Valuation	Current Year	Current Year's Other Than Temporary	Total Change in	Total Foreign Exchange	Book/ Adjusted Carrying Value	Foreign Exchange Gain	Realized Gain	Total Gain	Bond Interest/Stock Dividends	
Identi-		g Disposal		Shares of				Carrying	Increase/	(Amortization)/	Impairment	B/A. C.V.	Change in	at	(Loss) on	(Loss) on	(Loss) on	Received	Maturity
fication	Description	n Date	Name of Purchaser	Stock	Consideration	Par Value	Actual Cost	Value	(Decrease)	` Accretion ´	Recognized	(11+12-13)	B/A. Č.V.	Disposal Date	Disposal	Disposal	Disposal	During Year	Date ´
912920-AG-0	QWEST CORPORATION CORPORATE	06/12/2009	BANK OF AMERICA		59,075	85,000.00	56,950	56,969		40		40		57,009		2,066	2,066	3,566	11/15/2043
92276M-AP-0	VENTAS REALTY CORPORATE	05/04/2009	CALLED SECURITY at 100.425		30.128	30,000.00	30.356	30,111		(26)		(26)		30,085		42	42	861	06/01/2010
	VERIZON WIRELESS CAPITAL	09/18/2009	UBS SECURITIES		1,440,650	1,250,000.00	1,239,813	1,239,854		1,221		1,221		1,241,075		199,575	199,575		11/15/2013
	NOVA CHEMICALS CORP		1				l			ii		·				l'l			( <u> </u>
66977W-AH-2	SHAW COMMUNICATIONS INC	-1-102/06/2009	GOLDMAN SACHS	<b></b>	10,275	30,000.00	30,350	29,290		<del>1</del> 3		13		29,303		(19,028)	(19,028)	419	11/15/2013
82028K - AH - 4	CORPORATE	10/20/2009	CALLED SECURITY at 114.946		120,693	105.000.00	110.494	108 . 164		12.529		12.529		120.693			0	3.780	12/15/2011
	onds - Industrial and Miscellaneous				2,842,358	2,925,854.00	2,826,915	2,823,215	0	14,480	9,750	4,730	0	2,827,944	0	14,414	14,414	171,185	XXX
	Subtotals – Bonds – Part 4					42,600,512.00	43,678,611	43,489,011	0	(161,397)	9,750		0	43,317,865	0	1,374,753	1,374,753	1,628,159	XXX
	Summary item from Part 5 for Bonds				5,118,439	4,894,485.00	4,987,006		0	(19,100)	0	(19,100)	0	4,967,906	0	150,534	150,534	126,238	XXX
	Subtotals - Bonds				49,811,057	47,494,996.00	48,665,617	43,489,011	0	(180,497)	9,750	(190,247)	0	48,285,770	0	1,525,287	1,525,287	1,754,397	XXX
Preferred Sto	cks - Industrial and Miscellaneous (U BANK OF AMERICA PFD	Jnaffiliated)											1						
060505-81-5	FTST	02/11/2009	J.P. MORGAN	29,000.000	177 , 189		349,160	349,160				0		349,160		(171,971)	(171,971)	7.411	( l
	referred Stocks - Industrial and Misc			4	177,189	XXX	349,160	349,160	0	0	0	0	0	349,160	0	(171,971)	(171,971)	7,411	XXX
	Subtotals – Preferred Stocks – Part 4	,	,		177,189	XXX	349,160	349,160	0	0	0	0	0	349,160	0	(171,971)	(171,971)	7,411	XXX
	Subtotals – Preferred Stocks				177,189	XXX	349,160	349,160	0	0	0	0	0	349,160	0	(171,971)	(171,971)	7,411	XXX
	- Money Market Mutual Fnds																		
	RESERVÉ US GOVERNMENT FUND-8		RESERVE PRIMARY	2,655,501.740	2,655,502	VVV	2,655,502	2,655,502 2,655,502			^	0		2,655,502	^		0	13,051	XXX XXX
	Common Stocks - Money Market Mutual Fi Subtotals - Common Stocks - Part 4	unas			2,655,502 2,655,502	XXX	2,655,502 2,655,502	2,655,502	0	0	0	0	0	2,655,502 2,655,502	0	0	0	13,051 13,051	XXX
	Subtotals - Common Stocks - Fart 4				2,655,502	XXX	2,655,502	2,655,502	0	0	0	0	0	2,655,502	0	0	0	13.051	XXX
	Subtotals - Preferred and Common Stock	ks			2,832,691	XXX	3.004.662	3,004,662	0	0	0	0	0	3.004.662	0	(171.971)	(171.971)	20.463	XXX
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				†						†		·····				t			(
9999999 T	ntals		-1		52.643.748	XXX	51.670.278	46.493.673	۸	(180,497)	9.750	(190,247)	0	51,290,432	٥	1,353,316	1.353.316	1.774.860	XXX

## E16

9999999 Totals

#### ANNUAL STATEMENT FOR THE YEAR 2009 OF THE HumanaDental Insurance Company

### **SCHEDULE D - PART 5**

Showing all Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year Change in Book/Adjusted Carrying Value Par Value Book/ Current Year's Paid for Total Change (Bonds) or Adjusted Unrealized Other Than Total Foreign Foreign Interest and Accrued Number of Carrying Valuation Current Year's Temporary Exchange Exchange Realized Gain Total Dividends Interest Change in (Loss) on CUSIP Date Disposal Shares Value at Increase/ (Amortization)/ Impairment B./A. C.V. Gain (Loss) on Gain (Loss) Received and Name of Vendor Name of Purchaser Actual Cost | Consideration (Decrease) (12 + 13 - 14)B./A. C.V. on Disposal dentification Description Date (Stocks) Disposal Accretion Recognized Disposal Disposal During Year Dividends Acquired onds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions \_\_02/20/2009\_\_\_BANK OF AMERICA\_ .3.569.485 ..3.667.088 ..3,692,820 ...3,647,845 .\_(19,243 ...(19,243) .44.976 44.976 ..96.093 R1414K-5V-8 FNMA \_5,999 3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and 44.976 44.976 3.569.485 3.667.088 3.692.820 3.647.845 (19,243 (19, 243)96.093 Authorities of Governments and Their Political Subdivisions 5,999 Bonds - Industrial and Miscellaneous (Unaffiliated) TIGROUP GLOBAL MARKETS ROCHE HOLDING INC J.P. MORGAN ...200,000 ...196,856 .220,962 .\_196,983 ..127 \_\_127 ..23,979 ...23,979 .\_6,933 771196-AS-1 \_02/18/2009\_ \_09/18/2009\_ CORPORATE ACHMEA HYPOBK RBS GREENWICH CAPITAL ..599,700 .607,674 ...7,977 ...7,977 ..747 \_600,000 ..599,697 00448M-AA-9\_ CORPORATE\_ MARKETS INC .. \_11/12/2009\_ BARCLAYS CAPITAL 01/21/2009 BARCLAYS CAPITAL BARCLAYS CAPITAL .525.000 523.362 .596.983 .523.381 .73.602 \_73,602 22.466 09/18/2009 3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated) 1,325,000 1,319,918 1,425,619 1,320,061 143 143 105,558 (19,100 8399998 - Subtotals - Bonds 4,894,485 4,987,006 5,118,439 4,967,906 150,534 150,534 126,238 5,999

4,987,006

5,118,439

4,967,906

150,534

150,534

## SCHEDULE D - PART 6 - SECTION 1 Valuation of Shares of Subsidiary, Controlled or Affiliated Companies

1	2	3	4	5	6	7	8	Stock of Such Con	npany Owned
								by Insurer on Sta	10
					Do Insurer's				10
					Assets				
				NAIC	Include				
			NAIC	Valuation	Intangible				
			Company	Method	Assets				
			Code or Alien	(See SVO Purposes	Connected with Holding				
	Description		Insurer	and	of Such	Total Amount of			
CUSIP	Name of Subsidiary, Controlled or		Identification		Company's	Such Intangible	Book / Adjusted		% of
Identification		Foreign	Number	Manual)	Stock?	Assets	Carrying Value	Number of Shares	
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Amount of insurer's capital and surplus from the prior period's statutory statement reduced by any admitted EDP, goodwill and net deferred tax assets included therein:

 Total amount of intangible assets nonadmitted.

## **SCHEDULE D - PART 6 - SECTION 2**

'	2	3	4 Total Amount of Intangible Assets	Stock in Lower-Tier Company Owned Indirectly by Insurer on Statement Date		
CUSIP Identification	Name of Lower-Tier Company	Name of Company Listed in Section 1 Which Controls Lower-Tier Company	Included in Amount	5 Number of Shares	6 % of Outstanding	
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## **SCHEDULE DA - PART 1**

Showing all SHORT-TERM INVESTMENTS Owned December 31 of C	'urront Voor

						Sh				Owned Decem	ber 31 of Curre	ent Year							
1	2	Codes	5	6	7	8	Ch		djusted Carrying	Value	13	14			Int	erest			21
		3 4	1				9	10	11	12			15	16	17	18	19	20	
CUSIP Identi- fication	Description	F re Code g	o ei Date n Acquired	Name of Vendor	Maturity Date	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in B./A.C.V.	Par Value	Actual Cost	Amount Due And Accrued Dec. 31 of Current Year On Bond Not In Default	Non-Admitted Due and Accrued	Rate of	Effective Rate of	When Paid	Amount Received During Year	Paid for Accrued Interest
Exempt Money	Market Mutual Funds		1		1														
09248U-70-0	PROVIDENT BLACKROCK FED FD MMF		12/30/2009_	VARIOUS		813,924					813,924	813,924					MON		-
8899999	· Exempt Money Market Mutual	Funds				813,924	0	0	0	0	XXX	813,924	0	0	XXX	XXX	XXX	0	0
Class One Mon	ey Market Mutual Funds																		
481242-50-4	JP MORGAN PRIME MONEY MARKET FUND		12/31/2000	J.P. MORGAN		7,124,805					7,124,805	7, 124, 805					MON	1	
8999999	· Class One Money Market Mut	ual Funds		John Microrite	-	7,124,805	0	0	0	0	XXX	7,124,805	0	0	ХХХ	XXX	XXX	0	0
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9199999 T	OTALS		-1			7,938,729	Ω	n	0	0	XXX	7,938,729	0	0	ХХХ	XXX	XXX	0	0
						1,000,120	U	U		ű	AAA	.,000,720			ΛΛΛ	^^^	MAA		

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part A - Section 2

NONE

Schedule DB - Part A - Section 3

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part B - Section 2

NONE

Schedule DB - Part B - Section 3

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Part C - Section 3

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

# Schedule DB - Part D - Section 3 NONE

Schedule DB - Part E - Section 1

NONE

## **SCHEDULE E - PART 1 - CASH**

Amount of Interest   Amount of Interest   Court   Squares   Amount of Interest   Court   Squares   Amount of Interest   Court   Squares   Amount of Interest   Court   Squares					<b>97 1911</b>			
Perceiptor   Per		1	2	3	4	5	6	7
Deposition   Code   Interest   Year   Current Year   Raince   Year   Y				Rate of	Received	Accrued December 31 of		
CFEL SEYST TINES		Depository	Code	Interest		Current Year	Balance	*
If SAM	OPEN	DEPOSITORIES						
S DIV.	OPEN DEPOSITORI	ES						
San of New Inc.	CTITBANK	New Castle, DE	<del> </del>	ļ		<b></b>	969,631	I XX
San of New Inc.	JP MORGAN CHASE	New York NY					322 281	
Sale of farriers   All lates	Bank of America.	Atlanta, GA					724,716	XXX
A   1,375   1	Bank of America	Atlanta, GA					(9,264)	XXX
3	0199998 Deposits in	depositories that do not exceed the						
SUSPENDED DEPOSITORIES		depository (See Instructions)-open depositories					1,976	XXX
			XXX	XXX	0	0	(3,282,771)	XXX
	SUSPEND	DED DEPOSITORIES						vv
			-	ļ		l	l	
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229998 Deposits in			·	ļ				
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Note			]					XX
0299998   Deposits in   depositories that do not exceed the allowable limit in any one depository (See Instructions)-suspended depositories			-	ļ				XX.
0299998   Deposits in			-	ļ				. XX.
0299998         Deposits in allowable limit in any one depository (See Instructions)-suspended depositories         XXX         XXX <th< td=""><td></td><td></td><td>- </td><td></td><td><del> </del></td><td></td><td></td><td></td></th<>			-		<del> </del>			
Allowable limit in any one depository (See Instructions)-suspended depositories	0299998 Deposits in	depositories that do not exceed the	+				<u> </u>	$+^{\wedge \wedge}$
depositories         XXX         XXX         XXX         XXX           0299999 Totals-Suspended Depositories         XXX         XXX         XXX         0         0         0         0         XX           0399999 Total Cash on Deposit         XXX         XXX         XXX         0         0         0         (3,282,771)         XX           0499999 Cash in Company's Office         XXX         XXX         XXX         XXX         XXX         XXX         XXX								
0299999 Totals-Suspended Depositories         XXX         XXX         0         0         0         0         XX           0399999 Total Cash on Deposit         XXX         XXX         XXX         0         0         0         (3,282,771)         XX           0499999 Cash in Company's Office         XXX         XXX         XXX         XXX         XXX         XXX         XXX         XXX	depositories		XXX	XXX				XX
0399999 Total Cash on Deposit         XXX         XXX         0         0         (3,282,771)         XX           0499999 Cash in Company's Office         XXX	0299999 Totals-Suspended Deposito	ries			0	0	0	XX.
0499999 Cash in Company's Office XXX XXX XXX XXX XXX XXX XXX XXX	0399999 Total Cash on Deposit		XXX	XXX			(3,282,771)	XXX
0599999 Total Cash								XXX
	0599999 Total Cash		XXX	XXX	0	0	(3,282,771)	XXX

TOTALS OF DEPOSITORY BALANCES ON T	HE LAST DAY OF EACH MONTH	DURING THE CURRENT YEAR

1. January	(1,943,891) 4.	. April	(1,543,942)	7. July	(809,802)	10. October	(137,885)
2. February	(1,768,707) 5.	. May	(1,081,737)	8. August	(1,580,009)	11. November	(2,322,259)
3. March	(997 471) 6.	. June	2 996 785	9. September	1 398 557	12. December	(3.282.771)

## **SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned December 31 of Current Year

		SHOW III	vestments Owned December	ei 31 di Cuitelit Teal			
1	2	3	4	5	6	7	8
		Date	Rate of	Maturity	Book/Adjusted	Amount of Interest	Amount Received
Description	Code	Acquired	Interest	Date	Carrying Value	Due & Accrued	During Year
U.S. Governments Issuer Obligations	•		•	•			
FHI B DISC CORP		10/16/2009	0.125	01/15/2010	6,499,684		1,670
UNITED STATES TREASURY TREAS BILL		12/11/2009	0.002	02/04/2010	5,499,986		9
UNITED STATES TREASURY TREAS BILL		12/30/2009	0.007	02/25/2010	1,499,984		1
UNITED STATES TREASURY TREAS BILL		12/24/2009	0.010	03/18/2010	2,499,950		5
UNITED STATES TREASURY TREAS BILL	LSL	12/24/2009	0.010	03/18/2010	999,980		2
TREAS BILL		12/31/2009	0.005	04/01/2010	1,999,975		
0199999 - U.S. Governments - Issuer Obligations					18,999,559	0	1,687
0399999 - Subtotals - U.S. Government Bonds					18,999,559	0	1,687 1,687
7799999 - Subtotals - Issuer Obligations					18,999,559	0	1,687
8399999 - Subtotals - Bonds					18,999,559	0	1,687
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0000000 Total Cook Equivalents		•	<b> </b>	+	40,000,550		4 007
8699999 Total Cash Equivalents					18,999,559	0 1	1,687

### **SCHEDULE E PART 3 - SPECIAL DEPOSITS**

				2	the Benefit of A			cial Deposits
1	States ats		Type of	Purpose of	3 Book/Adjusted	4 Fair	5 Book/Adjusted	6 Fair
1 /	States, etc.	Λ1	Deposits	Deposits	Carrying Value	Value	Carrying Value	Value
	Alaska							
•	Arizona							
•	Arkansas							
	California							
1	Colorado							
•	Connecticut							
	Delaware							
		DC						
	Florida							
11.0	Georgia	GA	В	D01		48,813		
12. F	Hawaii	HI						
13.1	daho	ID						
14. ľ	llinois	IL						
15. l	ndiana	IN						
16. l	owa	IA						
	Kansas	KS						
18. F	Kentucky	KY						
19. L	_ouisiana	LA						
	Maine							
1	Maryland							
	Massachusetts		B	D01			97 , 499	97 ,945
23.1	Michigan	MI						
	Minnesota							
25. N	Mississippi							
26. N	Missouri	MO						
1	Montana							
	Nebraska							
1	Nevada							
1	New Hampshire							
		NJ						
	New Mexico		B	D01			147 ,848	152 , 172
		NY						
1	North Carolina		B	D01			444,822	446 , 007
	North Dakota							
•	Ohio							
1	Oklahoma							
1	0	OR						
	Pennsylvania							
	Rhode Island		<u>-</u>	DO 1			450.004	457 446
	South Carolina		B	D01			150,994	157 , 442
	South Dakota							
1	Tennessee							
1	Texas		ļ					
1		UT						
1	Vermont							
		VA						
	Washington							
49. V	West Virginia Wisconsin	. v v	В	D01	5,263,054	5,426,023		
	Wyoming					, ,		
	American Samoa							
•	Guam							
54.0	Puerto Rico	PR						
	JS Virgin Islands							
1	Northern Mariana Islands							
1	Canada							
	Aggregate Other Alien		XXX	XXX	0	0	0	
59. 7		01	XXX	XXX	5,313,104	5,474,836	841,163	853,565
DETAILS	OF WRITE-INS		7,0,11	ANA	0,010,104	3, 11 1,000	011,100	000,000
5801.								
5802.								
5803.								
	Sum of remaining write-ins f	for Line	yvv	vvv		_	^	,
	58 from overflow page Totals (Lines 5801 - 5803 +	5898)	XXX	XXX	0	0	0	(
	(Line 58 above)	2000)	XXX	XXX	0	0	0	(

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